Session 6b: NEO Characterization
Chairs: Marina Brozovic | Stephen Lowry

Presenters: J. Masiero | M. Devogele | V. Reddy | D. Dunham | J. Roa Vicens
Characterization of Near-Earth Asteroids from NEOWISE survey data

Joseph Masiero (Caltech/IPAC)
27-Apr-2021
NEOWISE mission overview

- PI-led (PI: Amy Mainzer, U Arizona) under NASA PDCO Program (Lindley Johnson, Planetary Defense Officer)
- Utilizes WISE spacecraft brought out of hibernation in October 2013, following same survey pattern
- 3.4 and 4.6 µm bands (W1 and W2) at ~77K
- Terminator-following pole-to-pole orbit
- Surveys entire sky roughly every 6 months
- Mission goals:
  - Expand survey of NEOs at mid-infrared wavelengths using W1 and W2 channels
  - Obtain physical characterization (including diameters and albedos) of NEOs detected by NEOWISE
  - NEOWISE observations a key component to future mission planning (both human and robotic) as well as planetary defense preparation
Sizes from Thermal Infrared
Seven years of NEOWISE survey

Masiero et al. in prep
NEO size accuracy

- Sizes from NEATM are spherical equivalent diameters
- Using only 3.4 and 4.6 microns, NEO diameter accuracy is ~30-40%
- This is due to uncertainty in the beaming parameter for NEOs
- When thermal peak is observed and beaming can be fit (e.g. cryogenic WISE mission) diameter accuracy improves to ~10-15%

Masiero et al. in prep
Albedo Uncertainty

- Albedos derived by combining thermal IR diameters with optical measurements
- Uncertainties from both components drive final albedo uncertainty
- For NEOs, observations made at high phases result in large H mag uncertainties unless G slope parameter is constrained
- This drives the uncertainty on albedo
- For the Main Belt, optical observations are at low phase angles, so uncertainty on H much smaller, and not comparable to NEOs
- Caution needed when relating albedo to physical properties
- See Masiero et al. 2021, PSJ, 2, 32 for discussion
Observations of Exercise Object 2021 PDC

- NEOWISE would not detect 2021 PDC in regular survey operations
- However, a survey replan would allow NEOWISE to follow it for ~3 weeks in June 2021
- This would allow for 300 exposures of 2021 PDC, which could be coadded to increase sensitivity
- Trade off would be increased heat load on the telescope potentially reducing sensitivity by the end of the observing campaign
- Data would be sufficient for a 3σ detection of a 100m size object

INFORMATION ON THIS SLIDE REFERS ONLY TO A THEORETICAL PLANNING EXERCISE
Size constraints on 2021 PDC

• Using an assumed $3\sigma$ detection, NEATM thermal model fit gives a diameter of 2021 PDC of $160 \pm 80$ m
• Large uncertainty a result of unknown beaming parameter
• Based on this, NEOWISE would be able to provide a $3\sigma$ upper limit of $D < 240$ m to mitigation planners by early July 2021
• As data came in over June, upper limit could be calculated and would steadily decrease, with largest sizes being rejected in mid June
Conclusions

• NASA has requested that the NEOWISE team plan to continue survey through June 2023
• Orbital evolution will be monitored as sun comes out of Solar minimum
• NEOWISE continues to perform well
• No significant degradation in sensitivity anticipated during this time, rate of NEO characterization expected to continue at current level
• NEOWISE offers a unique capability to provide thermal IR observations of a large number of NEOs in support of hazard assessment and planetary defense
Polarimetry as a tool for physical characterization of potentially hazardous NEOs

Maxime Devogèle¹ & Nicholas Moskovitz²
¹Arecibo Observatory/University of Central Florida
²Lowell Observatory

Planetary Defense Conference 2021
April 27, 2021
What is polarimetry?

Measure of the linear polarization of the sunlight scattered by the surface of an asteroid.
What is polarimetry?

Polarimetry is mainly dependent on the phase angle.

The polarimetry ratio is given by:

\[ P_r = \frac{I_{\perp} - I_{\parallel}}{I_{\perp} + I_{\parallel}} \]
Why polarimetry is important?

The phase-polarization curve is dependent on the albedo.
NEOs observations in polarimetry

NEOs can be observed at higher phase angles.

Graph showing the distribution of NEOs and MBA phase angles.
The Umov law is liking the albedo to the maximum of polarization
Polarimetry-Albedo relation

The graph depicts the relationship between polarization and phase angle. The x-axis represents the phase angle, ranging from 30 to 100 degrees, while the y-axis represents polarization, ranging from 0.1 to 50 units.
Polarimetry-Albedo relation

Ryugu
Bennu
1998 KU2
Phaethon
Albedo ~ 0.02 - 0.1
Polarimetry-Albedo relation

2021 PDC: 150m < D < 330m
Polarimetry-Albedo relation

1999 JD6
Albedo ~ 0.14
Polarimetry-Albedo relation

Albedo < 0.02 - 0.1

Albedo ~ 0.1 - 0.15

2021 PDC: 120m<D<150m
Polarimetry-Albedo relation

Mostly S-type
Apophis
Itokawa
Eros
Toro
Ivar
...
Albedo~
0.15-0.35
Polarimetry-Albedo relation

Albedo < 0.02 - 0.1

Albedo ~ 0.1 - 0.15

Albedo ~ 0.15 - 0.4

2021 PDC: 80m < D < 120m
Polarimetry-Albedo relation

1999 WT24
2004 VD17
...
Albedo~
0.45-0.75
Polarimetry-Albedo relation

Phase angle

Polarization

Albedo < 0.02 - 0.1
Albedo ~ 0.1 - 0.15
Albedo ~ 0.15 - 0.4
Albedo > 0.4

2021 PDC: 50m<D<80m
Conclusions

- Polarimetry is very effective to obtain albedo information.
- One measurement can reduce the size uncertainty by a factor of 2 to 10.
- We need new observations to better calibrate the polarimetry-albedo relation.
- We need more polarimeters on large aperture telescopes.
Challenges in Differentiating NEOs and Rocket Bodies: 2020 SO Study

Presented by Prof. Vishnu Reddy, University of Arizona

Team: Adam Battle, Peter Birtwhistle, Tanner Campbell, Paul Chodas, Al Conrad, Dan Engelhart, James Frith, Roberto Furfaro, Davide Farnocchia, Ryan Hoffmann, Olga Kuhn, David Monet, Neil Pearson, Jacqueline Reyes, Barry Rothberg, Benjamin Sharkey, Juan Sanchez, Christian Veillet, Richard Wainscoat
2020 SO: Background

- Discovered by PanSTARRS 1 telescope in Hawaii on September 17, 2020
- Temporarily captured on Nov. 8, 2020

Two close approaches:
- Inbound approach on December 1, 2020 at a distance of 0.13 LD (50,414 km)
- Outbound approach on February 2, 2021 at a distance of 0.58 LD (225,144 km)
- Low orbital velocity and Earth like orbit
  Paul Chodas suggested this could be artificial object (Surveyor 2 Centaur rocket booster)

- Launched September 20, 1966 from KSC, Florida
- Centaur upper stage went into heliocentric orbit similar to the Earth

Centaur D 2nd Stage Dimensions:
Length: 9.6 meters
Diameter: 3.05 meters
Unfueled Mass: 2631 kgs
Goals and Challenges

Study Goals:
- Is 2020 SO an asteroid or rocket body?
- If it is a rocket body, is it a Centaur upper stage from Surveyor 2?

Challenges:
- **Observability:** 2020 SO was too faint for traditional near-IR characterization till mid-Nov. Late Sept. 2020 it was at V. Mag. 21.5, which limited us to broadband colors.
- Can you tell the difference between an asteroid and rocket body with colors?
- **Spectral Interpretation:** Do we have relevant spectral libraries of analog materials to verify if 2020 SO is a Centaur R/B? Especially something that had been in space for 54 years?
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Color Observations

- **Large Binocular Telescope**: Two 8.2 meter optical/IR telescopes
- **Instrument**: Large Binocular Camera (red) and (blue) for color photometry (Sloan) and astrometry
- **Exposure times**: 60 seconds
- **Visual Magnitude**: 21.5
- **Images**: 10 per filter
- **Filters**: Sloan g’r’i’z’
- **SNR**: ~15 for g’r’i’; 10 for z’
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Comparison with S-type and D-type asteroids
Visible Spectral Observations

- **Goal:** Observe another Centaur in Earth orbit from the same period and compare with LBT color spectrum of 2020 SO

- **RAPTORS:** Robotic Automated Pointing Telescope for Optical Reflectance Spectroscopy

- **Telescope:** 0.6-meter F/4.6 Newtonian optical/NIR telescopes (RAPTORS I and II)

- **Instrument:** FLI4210 CCD and Richardson Grating 30L transmission grating giving a spectral resolution $R \sim 30$

- **Exposure times:** 0.01-1.0 seconds (Object kept in the center to make sure there are no shutter effects)
Comparison with Centaur R/Bs

Here are the visible wavelength spectra of the three Centaur bodies NORAD ID 3598, 4882 and 6155. 3598 was launched in 1968, 4882 in 1971 and 6155 in 1972. All Centaur spectra are consistent with each other. Differences at the shortest and longest wavelengths could be due to phase angle.
Near-IR Observations

- **NASA IRTF**: 3-meter IR telescope
- **Instrument**: SpeX spectrometer
- **Exposure times**: 200 seconds
- **Visual Magnitude**: 19.3, 15.7, 14.9 and 15.8

![Graphs showing phase angle and visual magnitude over time.](image)
Near-IR Observations

• First spectrum of 2020 SO (V. Mag. 19.3) obtained at the limit of IRTF’s capabilities (V. Mag. 19.5)
• Steep red slope till 1.5 µm
• No major absorption features detected, possible weak ones
• Diagnostic absorption bands key to constraining composition
• Compared LBT 2020 SO data and RAPTORS spectra of Centaur R/Bs
• Consistent with NASA IRTF data
Near-IR Observations

- Next two spectra of 2020 SO were obtained days before close approach (Dec. 1, 2020)
- Spectral slope consistent with Nov. 17 data
- Major absorption bands are seen in spectra from Nov. 29, 30.
- Diagnostic absorption bands key to constraining composition
- Bands are located at 1.42±0.02, 1.72±0.01 and 2.29±0.01 µm
- But we did not have good lab spectral of analog materials
Near-IR Observations

- Spectrum of Centaur R/B (4882) consistent with 2020 SO
- Red spectral slope with absorption bands
- Absorption features at 1.42±0.02, 1.72±0.01 are weaker in the Centaur than 2020 SO.
- Spectral slope and absorption band depth difference could be due to phase angle differences or space ageing
- What materials on 2020 SO is causing these absorption bands?
Interpretation

Spectrally-significant components

301 Stainless Steel

White polyvinyl fluoride

Aluminized Mylar

301 Stainless Steel

Study Lead: Neil Pearson (UA)
Interpretation

<table>
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<tr>
<th>Object/ Material</th>
<th>Band I Center (µm)</th>
<th>Band II Center (µm)</th>
<th>Band III Center (µm)</th>
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<td>PVF (Lab)</td>
<td>1.432±0.001</td>
<td>1.716±0.001</td>
<td>2.307±0.001</td>
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<tr>
<td>2020 SO</td>
<td>1.430±0.02</td>
<td>1.720±0.01</td>
<td>2.290±0.01</td>
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Mylar loses the 0.8 µm absorption with age and creates red slope in the visible.

Stainless Steel also has red spectral slope similar to aged Mylar.
Summary

• We have demonstrated the power of spectroscopy in differentiating natural vs. artificial objects in near-Earth space.

• Fast turn around (2 weeks) for 2020 SO characterization (Asteroid Yes/No) after discovery (LBT color observations).

• Space ageing/weathering remains a major challenge in our ability to interpret 2020 SO spectra.

• We will attempt spectral mixing models once we have better end member lab spectra.

Acknowledgment: This work was funded by NASA Near-Earth Object Observations Grant NNX17AJ19G (PI: Reddy).
Backup Slides
Lightcurve Observations

No clear evidence for a rotational period
Maybe a fast rotator or tumbling?
Lightcurve Observations

**2020 SO, Nov. 30, 2020**

- Period: $9.6362 \pm 0.8078$ s
- Great Shefford Obs.

**2020 SO, Jan. 31, 2021**

- Period: $9.2879 \pm 0.2926$ s
- RAPTORS II

**Close Approach 1, Range 94,000 km**

**Close Approach 2, Range 360,000 km**
Color Observations

• Is the Centaur D Stage really painted white?
Color Observations

• If it is not white paint what is it?
• Phillip Anz-Meador (Jacobs, at NASA JSC)
• “Centaur D (operational) models jettisoned the foam insulation panels revealing the SS underneath”
• 2020 SO should be made of Stainless Steel 301.
Visible Spectral Observations

Centaur D History: 24 Centaur D upper stages were launched between Aug. 1965 and Aug. 1972. Eight of which remain in Earth orbit. The three we picked were based on their visibility from Tucson. Two in LEO orbits were extremely challenging for spectroscopy, third was in GTO and easier to track at apogee.

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Color Observations

- Spectra of SS 301 obtained from AFRL (Dan Engelhart)
- These spectra were not aged under the assumption that Stainless Steel won’t oxidize like Aluminum would.
- Plot on the right compares 2020 SO griz colors with Stainless Steel Tube.
- Both have red spectral slopes, but 2020 SO is redder than lab spectrum of Stainless Steel.
Chasing Centaur

- Identifying specific materials requires extensive lab spectroscopy if we can get the material samples
- How do spectra of materials change with space ageing?
- Logical solution was to observe another Centaur with the IRTF, preferably one of three we observed with RAPTORS I (visible spectra)

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<td>ATS 5</td>
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<td>26 JAN 1971</td>
<td>Intelsat-4 2</td>
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Challenges

- TLE for 4882 was 2.5 days old
- Centaur position off by 8.15’ (8X IRTF FOV)
- We tried to observe 4882 from my backyard a few hours before IRTF observations but was too low in the sky.
ACCURATE NEO ORBITS FROM OCCULTATION OBSERVATIONS

Paper IAA-PDC-21-6-09 (Session 6b, #4)

7th Planetary Defense Conference – PDC 2021
26-30 April 2021, Vienna, Austria and via Webex

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Version of 2021 April 26, 7pm EDT
Outline

- IOTA & Asteroidal Occultations Introduction & History
- The 1975 Jan. 24 Occ’n of \( \kappa \) Gem by Eros
- The 2019 July Occ’n by Phaethon, 1\(^{st}\) small NEO occ’n
- Improvement of Phaethon’s orbit – A2 acceleration
- More Opportunities in 2021 & 2022
- First Observed Occultation by Apophis, 2021 Mar. 7
- Almost lost it – 2\(^{nd}\) Positive Occ’n, 2021 Mar. 22
- 2021 April Occultations – Apophis Orbit Nailed
- Conclusions
- Additional Resources
IOTA and Asteroidal Occultations Introduction

- The observer network that became IOTA formed in 1960’s
- to observe lunar grazing occultations with mobile efforts
- The mobile techniques to observe lunar grazes, were used effectively to observe asteroidal occultations in the late 1970’s
- Starting in the 1980’s, IOTA began recording occultations with video equipment, improving the observations
- Using stars and the Earth’s rotation to pre-point stationary telescopes at multiple locations
- Working with the NASA PDS Small Bodies Node and the Minor Planet Center, IOTA archives all asteroidal occultation observations
- ESA’s Hipparcos and Gaia missions have greatly improved prediction accuracy, resulting in a large increase in observed occultations
- The sizes, shapes, and accurate positions of hundreds of asteroids have been determined
- Dozens of close double stars have been discovered, the diameters of several stars have been measured, and some asteroidal satellites have been discovered, and several characterized.
IOTA started in the early 1960’s by observing lunar occultations, especially grazing ones.

- **A** – Total Occultation
- **B** – Has no occultation (a miss)
- **C** – Tangent path, a Grazing Occultation

**Figure 2-1b**
Lunar Profile from Graze of delta Cancri – 1981 May 9-10
Alan Fiala, USNO, obtained the first video recording of multiple events during this graze, with 7 D’s and 7 R’s

During the 4 min., the Moon moved ~250 km so the vertical scale is about 40 times the horizontal scale

A good example of a graze is shown by a composite video of the 2017 Mar. 5th Aldebaran graze at https://vimeo.com/209854850

Expeditions like this inspired a generation of young amateur astronomers before & after the Apollo missions

Circled dots are Watts’ predicted limb corrections
Starting in 1965, cable systems were developed for observing grazing occultations, first at USNO, then by 3 clubs in California (Riverside, Santa Barbara, and Mount Diablo Astronomical Society), and Milwaukee, Wisconsin. This is a Riverside A.S. expedition near Adelanto in 1966. Mobile observation was needed since graze paths were narrow. The observations were visual, with audio tones recorded at the central station for this cable system.
The more stations that can be deployed, the better the resolution of the asteroid’s shape.
Technology now allows observers to record transient astronomical phenomena more precisely and to fainter magnitudes than ever before. A small, inexpensive, yet very sensitive camera (RunCam Night Eagle Astro) will allow you to participate in IOTA’s programs to accurately record occultations and eclipses, to measure the sizes and shapes of hundreds of asteroids, discover duplicity of both close double stars and asteroids with satellites, and measure the angular diameters of many stars. Occultations provide excuses for travel, or you can just observe them from home, to further astronomical knowledge. Some use specially-made easily-transported telescopes; there is room for innovative design & construction of equipment & software to record asteroidal occultations.

Near left: 10-in suitcase telescope deployed for an asteroidal occultation in the Australian Outback.
Remote Stations for Asteroidal Occultations

- Separation should be many km, much larger than for grazes, so tracking times & errors are too large
- Unguided is possible since the prediction times are accurate enough, to less that 1 min. = $\frac{1}{4}\degree$ (now the prediction time errors are only a few seconds)
- Point telescope beforehand to same altitude and azimuth that the target star will have at event time and keep it fixed in that direction
- Plot line of target star’s declination on a detailed star atlas; Guide 8 or 9, or C2A can be used to produce the charts
- From the RA difference and event time for the area of observation, calculate times along the declination line
- Adjust the above for sidereal rate that is faster than solar rate, add 10 seconds for each hour before the event; done automatically by Guide & C2A
- Can usually find “guide stars” that are easier to find than the target
- Find a safe but accessible place for both the attended & remote scopes
- Separation distance limited by travel, set-up, & pre-pointing time, but we have had success with software to control small Win10 computer recordings; then the main limit is battery life, which can be several hours
- Sometimes it is better to have remote sites attended for starting equipment later (allows larger separations) and security, if enough people can help
First observed occultation by a NEO, 1975 Jan. 24, κ Gem occulted by Eros


Left, map of observers & sky plane plot from the 1976 Icarus paper. Right, modern sky plane plot of the chords fitted to Eros’ shape model derived from NEAR-Shoemaker data. This was the first occultation by ANY asteroid that was observed from multiple stations. Especially, the stations deployed by the Pioneer Valley Colleges led by Brian O’Leary was the first successful coordinated effort to observe such an event by mobile observers. A crucial observation, now known to be a false negative, resulted in the wrong squashed shape shown by O’Leary et al. It would be 44 years before an occultation by another NEO would be observed.
(3200) Phaethon

- (3200) Phaethon was the first asteroid to be discovered by a spacecraft (IRAS).
- Phaethon is the parent body of the Geminids meteor stream that puts on one of the largest annual meteor displays.
- This mysterious object may be a (nearly) dead comet nucleus, or a very active asteroid, throwing off boulders like has been observed on Bennu by OSIRIS-REx.
- Phaethon is an Apollo asteroid with a perihelion of only 0.14 AU, <half Mercury’s, with aphelion 2.4 AU in the Main Belt. The extreme thermal changes near perihelion likely drive its shedding of pebbles and dust, creating the trail imaged by the Parker Solar Probe. Small non-gravitational forces on its orbit have been detected.
  - JAXA’s DESTINY+ spacecraft plans to launch in 2024 and fly by Phaethon in 2025 -see https://en.wikipedia.org/wiki/DESTINY+
  - Radar observations show Phaethon to be nearly spherical with a diameter of nearly 6 km.
  - Thermal IR data give a diameter of ~4.5km.
THE 2019 JULY 29 OCC’N OF 7.3-MAG. SAO 40261 BY PHAETHON

- This event was first identified by Isao Sato in Japan. In January 2019, he alerted US observers via a message that he sent to the IOTAoccultations list server.
- To obtain an accurate astrometric point for orbit improvement, and to resolve the diameter discrepancy, Tomoko Arai, PI of DESTINY+, requested that NASA & IOTA try to observe this rare bright occultation by the small NEO in the sw USA.
- This was by far the smallest object that IOTA had tried to predict and observe; we needed help.
- Those who predicted this occultation, and analyzed the observations of it, all had to modify their software, to take into account previously-neglected effects that weren’t significant for occultations by all of the larger objects studied in the past. Even the difference in the gravitational bending of light by the Sun, for the star and Phaethon, was noticeable.
- Jon Giorgini computed JPL solution 684 after including radar measurements made in 2017. Then Davide Farnocchia computed JPL 685, manually adding Gaia astrometry; this was key.
- Adding new astrometric observations just confirmed JPL 685, so it was used for the final prediction.

Phaethon’s motion was from lower left to upper right, → so the 3σ limits (JPL 685) were 8 km + Phaethon’s radius from center; the ground projection was a little larger.
2019 July 29 Phaethon occ’n, all successful chords
The event provided accurate information about Phaethon’s size (verifying the radar value), shape, and orbit that will be valuable for DESTINY+’s planning, and will help obtain more data from future occultations that can be better predicted.
To ensure success, the first event needed a deployment across 4 States by scores of professional and amateur observers, forming a network of stations with an unprecedentedly small spacing between them. After the 2019 July 29th success, it was possible to predict the Sept. 29th event, and then each of the others, with better improvement each time as more observations were added to the orbit solutions.

“Star mag.” measures the star’s magnitude, with lower numbers for brighter stars, like rankings. The first event was visible with binoculars; all the others needed telescopes. **Positive** chords recorded the occultation, while **all** includes negative observations; SCT= Schmidt-Cassegrain Telescope.

More about these observations is in the longer presentation I gave at the 2020 meeting of the International Occultation Timing Association. It is the 4th from the bottom, on the 2020 IOTA presentations page at: [http://occultations.org/community/meetingsconferences/na/2020-iota-annual-meeting/presentations-at-the-2020-annual-meeting/](http://occultations.org/community/meetingsconferences/na/2020-iota-annual-meeting/presentations-at-the-2020-annual-meeting/).
The A2 term for most NEO’s is caused by the Yarkovsky effect, but for Phaethon, mass loss due to strong thermal heating near perihelion is likely the main driver, as evidenced by the Geminids & the Phaethon dust trail imaged by the Parker Solar Probe.
Future Phaethon Occultations

Predictions for 10 more Phaethon occultations in late 2021 are at http://iota.jhuapl.edu/2020-2022Phaethon.htm, including the one above.
Occultations by (99942) Apophis

- Discovered in 2004; very close approach in 2029 identified
- Elongated object, about 350m x 170m, from 2011 radar obs.
- 2029 flyby near ring of geosats; no threat, but great sci. opp.
- But could pass through “keyhole” into a resonant orbit
- With the best orbit before 2021, small chance of 2068 impact
- If impact, total destruction to 25km; severe damage to 300 km

Paris Obs.’s Lucky Star Project found a 7th-mag. occ’n across N. America on 2021 Feb. 22, but without radar, it could not be predicted well. They found another event, star mag.8.4, with map at left, and radar data were expected a few days before. The shape model with new spin state, aspect for the event from Marina Borzovic, is at right.

Much information about past observed Apophis occultations, and predictions for future ones, are at http://iota.jhuapl.edu/Apophis2021.htm.
6 IOTA observers deployed telescopes at a small airport and along US 165 south of Oakdale, Louisiana. Red dots mark stations that had a miss, while 3 green dots mark 3 that recorded the occultation. The station locations were selected to be close to the diagonal tracks shown on the map, 107 meters apart as projected on the ground. They were 80 meters apart on the plane of the sky. J. Moore pre-pointed 2 systems that recorded the star, R. Venable 4, and D & J Dunham, 5, 2 of which (green dots) recorded the occultation, as did R. Nugent between them. K. Getrost recorded a miss.

Orange lines show 3 predictions, two based on JPL orbit 204 computed on March 5 refined with the radar obs. made the previous 3 nights. JPL orbit 211 shows a later prediction that should be close to where the path would have been, if the Gaia position for NY Hydrae had been correct, as noted below. Some of the lines were covered by observers in Oklahoma, Colorado, and British Columbia; their observations were all negative.
David and Joan Dunham’s equipment used on line A30 (northern, left) and line A28 (southern, right). Both stations used 80mm f/5 refractors with f/0.5 focal reducers, small video cameras, an IOTA video time inserter (VTI) for accurate time-stamping of the videos with GPS 1PPS (the VTI is under the towel at A28), and iView “stick” Win10 computers to record the video. Except for the cameras, the equipment was the same at the two stations. These pictures were taken during a practice run at their home 3 nights before the occultation.
The March 7\textsuperscript{th} observations were quickly analyzed to update the orbit, as 4 nights later, there was an Apophis occultation in Europe. Two observers from Thessaloniki, Greece traveled to the path predicted by the new orbit JPL207, but both had a miss. On March 22\textsuperscript{nd}, an occultation of a 10.0-mag. star was predicted for the eastern USA. Some tried the event in n.e. Alabama and Illinois, where they had a miss. \textbf{R. Venable deployed 5 telescopes near Yeehaw Junction, Florida, as shown above. Only his easternmost telescope recorded the occultation.} The path between the blue lines was computed from JPL orbit 207 (updated using the March 7\textsuperscript{th} observations) and used for planning. The better path between the yellow lines was computed later from JPL orbit 214a that used later occultation observations. \textbf{By spreading his scopes out enough, Venable saved Apophis’ accurate orbit;} the JPL 207 error apparently was due to error in Gaia’s position of NY Hya caused by its duplicity (eclipsing binary) that was occulted on Mar. 7.
With Apophis’ orbit nailed by the April 4th observations, we were able to accurately locate the three observers, each with one telescope, for the April 11th occultation of a 10.1-mag. star, so that each had occultations. Above is Kai Getrost’s light curve of the occultation that was recorded with 100 frames per second from Farmington, New Mexico with a QHY 174M GPS camera attached to a 20-inch Dobsonian telescope. Effects of Fresnel diffraction are evident.
Summary of all observed positive Apophis occultations with O-C’s from JPL 214a

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<td>March 7</td>
<td>8.4</td>
<td>LA,OK,CO,BC</td>
<td>29</td>
<td>3</td>
<td>-11.0</td>
<td>+1.2</td>
<td>+0.17</td>
<td>1.45 [5]</td>
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<td>10.0</td>
<td>FL,AL,IL</td>
<td>9</td>
<td>1</td>
<td>+0.4</td>
<td>-0.5</td>
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<td>NM</td>
<td>8</td>
<td>3</td>
<td>+0.3</td>
<td>-0.1</td>
<td>-0.01</td>
<td>0.90</td>
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<tr>
<td>April 10</td>
<td>12.6</td>
<td>Japan</td>
<td>2</td>
<td>1?</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>April 11</td>
<td>10.1</td>
<td>NM</td>
<td>3</td>
<td>3</td>
<td>+0.5</td>
<td>-0.5</td>
<td>-0.03</td>
<td>0.85</td>
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</table>

[1] This is the Gaia g magnitude of the occulted star.
[2] For location, the country is given, or 2-letter US State/Canadian Province codes.
[3] The O-C residuals are relative to JPL orbit 214a, in mas, but in seconds for Δt.
[4] The RUWE is for the Gaia 3rd Early Data Release (EDR3); values >1.40 indicate stars that are likely to have positional errors larger than the formal errors from the Gaia astrometric solution.
[5] The star is NY Hydrae, an eclipsing variable with a 4.8-day period.

We believe HY Hydrae’s duplicity, more than the RUWE value, is the main explanation of the large residuals on Mar. 7. Slide 17 shows the path (JPL 211, which was almost the same as JPL 214a) that would have occurred on March 7th, if the Gaia position of NY Hydrae had NOT been in error. That threw us off after that event, causing the observers in Greece for the Mar. 11 occultation, to be in the wrong place and have a miss. Fortunately, Venable was able to spread his stations out far enough on Mar. 22 to catch that occultation (slide 19). The table shows that the residuals for March 7th stick out like a sore thumb, demonstrating the astrometric power of observations of occultations by small NEOs.
Occultations helped retire the risk of Apophis.

Evolution in time of our knowledge of the average Yarkovsky acceleration for 99942 Apophis. The light blue data represent the early theoretical estimates from approximate models of the physical properties of Apophis. The other data are measurements enabled by the collection of more optical and radar astrometry. On the horizontal axis, close encounters with the Earth (enabling collection of accurate astrometry) are marked. The inset shows the last estimates compared to our value, in red, obtained from all the observations available on March 15, including the occultation observed on March 7, 2021. For more, see https://www.cosmos.esa.int/web/gaia/iow_20210329.

Gaia Image of the week, 2021 Mar. 29. “Apophis’ Yarkovsky acceleration improved through stellar occultation”

Also, please see Tanga et al’s poster, “Stellar occultations by NEAs, challenges and opportunities” that notes, DART/Hera target Didymos should be next for occ’ns.
Predictions of future Apophis occultations

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<th>U.T.</th>
<th>mag. [1]</th>
<th>Location</th>
<th>duration, sec.</th>
<th>Event Notes</th>
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<td>May 4</td>
<td>3.1h</td>
<td>8.7</td>
<td>cen. Chile, Baja, s. CA</td>
<td>0.17</td>
<td>[3]</td>
</tr>
<tr>
<td>May 6</td>
<td>3.1h</td>
<td>11.6</td>
<td>n. Chile, Sonora, s.AZ</td>
<td>0.16</td>
<td>[4]</td>
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<tr>
<td>May 20</td>
<td>18.0h</td>
<td>10.6</td>
<td>Oman, e. TR, e. UA</td>
<td>0.10</td>
<td>[5]</td>
</tr>
<tr>
<td>Sep. 5</td>
<td>1.6h</td>
<td>6.4</td>
<td>S. Sudan, Ethiopia</td>
<td>0.02</td>
<td>[6]</td>
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<tr>
<td>Sep. 27</td>
<td>7.7h</td>
<td>8.5</td>
<td>n. Florida, s.e. GA</td>
<td>0.02</td>
<td>[7]</td>
</tr>
</tbody>
</table>

[1] This is the Gaia g magnitude of the occulted star.
[2] For location, the country or its 2-letter code is given, or 2-letter US State code.
[3] The star is SAO 79801 = XZ 11903, spectral type F0. Near Borrego Springs, CA, the Sun alt. is -8°. In Chile, the path is over Santiago and Vina del Mar, star alt. 9°.
[4] On the coast south of Antofagasta, Chile, the star alt. is 9°. In southern Arizona, the Sun alt. is -11°; the path passes over the town of Sells, & Goodyear.
[5] In eastern Turkey, the Sun alt. is -16°; west of Kharkiv, Ukraine, it is -7°. The path is also over w. U.A.E., n. Qatar, and e. Iraq.
[6] The star is ZC 1125 = SAO 79386, spectral type F6V. The Gaia EDR3 RUWE is high, 2.5, so the path may be a few km off.
[7] The star is SAO 98045. The star altitude is 9° at the Atlantic coast.

During June, July, and August, Apophis is too close to the Sun so no observable occultations occur then. In September, the event durations become much shorter so only brighter stars have a reasonable chance to be observed with video. Maps and path details are on the IOTA Apophis page at http://iota.jhuapl.edu/Apophis2021.htm
Conclusions

• The rare bright 2019 July 29th occultation was the first successful campaign for a small NEO; it’s the smallest asteroid with multiple timed chords during an occultation. One of the largest collaborations of amateur and professional astronomers for an occultation enabled this success.

• The radar size and shape were verified, and the improved orbit allowed a good prediction for the Sept. 29th occultation, then subsequent events, and an improvement of Phaethon’s A2 non-gravitational parameter by a factor of 3.

• Recently, the occultation technique was successfully applied to Apophis, which is more than 10 times smaller than Phaethon, further demonstrating the astrometric power of observations of NEO occultations for planetary defense.

• Information about the sizes, shapes, rings, satellites, and even atmospheres of Kuiper Belt objects, Centaurs, Trojans, and other asteroids is proportional to the number of stations that can be deployed for occultations by them

• So we encourage as many others as possible to time occultations by TNO’s and by other asteroids from their observatories

• We want students to learn to make the necessary mobile observations, including the multi-station techniques pioneered by IOTA, to observe NEO occultations; someday, one or more of them might observe an occultation that will save the world, or part of it.

• We hope that the pursuit of NEO occultations will inspire a new generation of astronomers to learn, apply, & improve the techniques for mobile occultation observation, like lunar grazing occultations did for us in the 1960’s and 1970’s.

• A longer more detailed version of this presentation (Power Point) is at http://iota.jhuapl.edu/PDC2021NEOoccultationsDunhamPresentationLong.pdf
Additional Resources

• A longer and more detailed version of the Phaethon presentation is available, 4th from the bottom, on the presentations page of the 2020 IOTA meeting at: http://occultations.org/community/meetingsconferences/na/2020-iota-annual-meeting/presentations-at-the-2020-annual-meeting/ Another interesting talk there describes a fully automatic portable system, by A. Knox, the 4th from the top.

• IOTA Apophis occultations Web page: http://iota.jhuapl.edu/Apophis2021.htm


• IOTA main Web site, especially the observing pages: http://occultations.org/

• Occult Watcher for finding asteroidal occultations for your observatory and area, and for coordinating observations: http://www.occultwatcher.net/

• Link to George Viscome’s occultation primer: http://occultations.org/documents/OccultationObservingPrimer.pdf

• IOTA YouTube videos (Tutorials and notable occultations): http://www.asteroidoccultation.com/observations/YouTubeVideos.htm

• SwRI Lucy Mission Trojan occultations Web site (SwRI expeditions planned for many of them): http://lucy.swri.edu/occultations.html

• RECON TNO/Centaur occultations Web site (Mainly, w. USA events): https://www.boulder.swri.edu/~buie/recon/reconlist.html

• Lucky Star TNO/Centaur/Trojan occultations Web site: https://lesia.obspm.fr/lucky-star/predictions.php

Updated 2021 April 26, 7pm EDT
A New Method for Asteroid Impact Monitoring and Hazard Assessment
**Introduction**

Motivation for a new impact monitoring algorithm

---

**Goal of Impact Monitoring**

Identify and characterize all virtual impactors (VIs) compatible with the orbital uncertainty distribution.

**VI:** region in parameter space leading to impacts along the same dynamical path.*

---

**Challenges**

1. Many VIs that must be separated.
2. Impact probabilities (IP) are usually small (~10^-7).
3. Nongravitational parameters must be handled automatically.
4. Pathological cases (Earth-like orbits, nonlinearities).

---

**Monte Carlo:**

1. ✗ 2. ✗ 3. ✓ 4. ✓

**Line of Variations (LOV):**

1. ✓ 2. ✓ 3. ✗ 4. ✗

---

*Increased rate of NEA discoveries calls for a more robust method*

---

Impact Pseudo-Observation I
Finding VIs using an orbit-determination program

- No simplifying assumptions about the dynamics or the uncertainty distribution in parameter space.
- The covariance of the fit approximately models the VI in parameter space.
- Use the same operational OD program used to produce the nominal orbit.

Add the impact condition as an observation:
- The residuals are the B-plane coordinates at the time of close approach.
- The uncertainty is a fraction of the Earth radius.
Finding VIs using an orbit-determination program

Impact Pseudo-Observation II

Constrained by data

1-\(\sigma\) covariance of the impacting solution

Earth cross-section

It. 1

It. 0

It. 2
A new automatic impact-monitoring system at JPL.

Operation of Sentinel

1. **Initial MC exploration**: detect close approaches for further investigation.
2. **Find VIs**: run OD filter extended with the impact pseudo-observation.
3. **Characterize the VIs**: use importance sampling to estimate the IP.

Features and Comparison with Sentry (LOV based)

- Sentinel has been running and mirroring Sentry for a few months.
- Sentinel handles nongravitational parameters systematically.
- More robust in pathological cases.
- Median runtimes for 100-year exploration and IP down to $10^{-7}$:
  - Monte Carlo: 14 days (20,000 min)
  - Sentry: 20 min
  - Sentinel: 40 min
- Sentinel provides the nominal orbit and the uncertainty of each VI
  $\Rightarrow$ useful for negative observation campaigns.
Q&A
Session 6b: NEO Characterization
End of Day 2
Thank you