11 November 2011

English only

Committee on the Peaceful
Uses of Outer Space
Scientific and Technical Subcommittee
Forty-ninth session
Vienna, 6-17 February 2012
Item 14 of the draft provisional agenda\*
Long-term sustainability of outer space activities

# Long-term sustainability of outer space activities

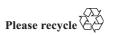
# Note by the Secretariat

The present conference room paper contains the full reports received by the Secretariat from Japan. A summary of the reports is contained in document A/AC.105/C.1/103.

\* A/AC.105/C.1/L.310.

V.12-50433 (E)





# II. Replies received from member States

The Experiences and Practices related to the Long-Term Sustainability of Outer Space Activities in Japan (Regarding space debris, space operations and tools to support collaborative space situational awareness)

#### I. Introduction

In accordance with the provisions of the terms of reference and methods of work of the Working Group on the Long-term Sustainability of Outer Space Activities, adopted by the Committee at its fifty-fourth session (A/66/20, annex II), Japan would like to provide information of its experiences and practices that relate to the long-term sustainability of outer space activities and how Japan envisages work under the topic (A/66/20, annex II, paragraph 23). This paper emphasizes debris related issues. This paper also identifies the problems common in the world to be improved. It is expected that improvements can be made through the activities of the WG.

# II. Background information of the orbital environment

Major efforts to limit the generation of debris have been made in most of the countries. However, when looking at the long-term sustainability, we should take into account the following points:

- (1) The debris environment is deteriorating in spite of the establishment of UN Debris Mitigation Guidelines and other international or national standards.
- (2) The risk of collision cannot be ignored in densely populated orbital regions.
- (3) The major source of debris generation in the near future will be a collision among existing objects followed by a chain reaction of collisions.

Under such situation, following risk factors should be considered in addition to the debris mitigation measures:

- (1) Protection from debris impact
- (a) Protection from collision with large detectable objects Influence is too large if a numerous number of fragments generated in the orbit.
- (b) Protection from collision with undetectable tiny particles It causes loss of function to perform mission and disposal actions.
- (c) Protection from collision with manned spacecraft at launch operation If it happens, it could cause loss of human life and generate large number of fragments.
- (d) Protection from collision with debris cloud generated by break-up If a launch vehicle or spacecraft enters into the debris cloud, collision risk is very high.

- (e) Protection of humans on the ground from the re-entering objects If it happens, it can also cause loss of human life and environmental pollution.
- (2) Preventing from collision among orbital objects

Accumulated debris in the useful and crowded orbital region should be positively removed in the future.

(3) Ensuring the quality and reliability of spacecraft

The mission completion is the best and is a principle requirement to mitigate debris

## III. Experiences and practices in Japan

#### 1. General mitigation practices

## 1.1 Requirements

The importance of the debris issue is clearly stated in the "Basic Plan for Space Policy" published by the Japanese government. Japan Aerospace Exploration Agency (JAXA), which is a core organization that promotes space activities in Japan, has registered the "Space Debris Mitigation Standard (JMR-003)". The standard has following requirements which almost comply with the ISO-24113 "Space debris mitigation requirements", UN Space Debris Mitigation Guidelines, and the IADC Space Debris Mitigation Guidelines.

- (1) limiting releases of mission related objects,
- (2) preventing break-ups,
- (3) removal of mission terminated Geosynchronous satellites from the GEO protected region,
- (4) removal of mission terminated space systems passing through the LEO protected region,
- (5) ensuring ground safety from the re-entering debris,
- (6) preventing collision with large debris,
- (7) preventing collision with manned space system at launching phase, and
- (8) preventing damage by collision with tiny debris.

#### 1.2 Planning and review

JAXA applies the standard to all of its space projects. To assess the compliance with the standard, JAXA requires contractors to submit the Debris Mitigation Plan for authorization.

During the product lifecycle, the traceability between mitigation plan and actual design and operation plan are reviewed at the end of each design phase, and compliance is verified.

#### 1.3 Compliance and Subjects to be Discussed

The compliance of JAXA projects with the requirements of JMR-003 is shown in the Table-1. As a reference, comparison among JMR-003 and other world Standards and Guidelines are shown in Table-2.

In general, activities in Japan show relatively good compliance with applicable requirements. However the points below have been identified as subjects for improvement.

- (1) The micro satellites, which do not have propulsion systems, will be injected to the orbit lower than 600 km to limit their orbital lifetime shorter than 25 years.
- (2) The effort to reduce the risk of re-entry by selecting adequate material should be encouraged. Technology to reduce the survivability is being developed. (propellant tank to be demised during re-entry, etc.).
- (3) When the collision avoidance manoeuvre is essential, the information of approaching spacecraft is needed, but sometimes it may not be available.
- (4) When the break-ups occur, it would be risky to operate spacecraft or launch vehicles through debris cloud. So that break-up event should be informed by operators or on-ground observers immediately after the fragmentation.
- (5) It should be noted that, in future, collision among orbital objects will be a major source of the deterioration of the environment.
- (6) "Collision Avoidance for new Launch (COLA)" with manned system is expected to be applied in all the world launching operation. But not all the manned systems are providing its orbit and manoeuvring plan. Then COLA is not available for such systems.
- (7) Since the world debris environment models do not show good agreement for micro-millimetre objects, excessive heavy mass for shielding tend to be required.
- (8) Protection technology is still under development.

Coupling with the risk factors mentioned in section II, above understanding will induce the subjects to be discussed in UN for the sustainability of space activities, which is presented in the next section.

Table-1 Practices and Compliance with JAXA standard

	Requirements	Compliance, Experiences, Practices and Efforts	Further Efforts/Subjects to be discussed
1	Not releasing mission related objects	<ol> <li>Every project has complied.</li> <li>Clamp band which ties the spacecraft with the launcher will not be released.</li> <li>Fasteners to hold antenna or paddles have bolt catcher not to release the parts, in spite that they cause loss of performances of antenna and paddles that are being applied in design.</li> <li>In the case of launching multiple payloads, support structures are separated into orbit, but since their orbital lifetime is short, it is allowed in the world.</li> <li>Other pyrotechnic devises would not be released their parts.</li> </ol>	There are no major problems.
2	Prevention from break- ups	<ol> <li>Every project has complied.</li> <li>During the operation the critical parameters are monitored to detect a symptom of failure. In case of critical failure, operation will be terminated and adequate measures will be taken to prevent breakup.</li> <li>At the end of operation, residual propellants are vented, and battery charging lines will be shut-off.</li> <li>Pressure vessels are applied Leak-Before-Burst design, or have mechanical devices to prevent bursting (example: rupture disk in Lithium battery).</li> <li>Break-up probability during operation is limited to less than 0.001.</li> </ol>	There are no major problems.
3	Removal from the GEO protected region	<ol> <li>Every project has complied</li> <li>GEO satellites have been sent to disposal orbit regions after the end of operation. It may cause economical loss because the operation period would be reduced about 2 month, but operators put priority on preservation of orbital environment.</li> <li>The eccentricity after disposal is controlled as small as possible (currently, smaller than 0.003).</li> <li>Probability of success for disposal is controlled to be less than 0.9.</li> </ol>	There are no major problems.
4	Removal from the LEO protected region	<ul> <li>Projects are improving their status.</li> <li>1) Since the February 2011, a 25 year rule has been strictly applied. Large satellites are complying with that rule.</li> <li>2) Probability of success for disposal is controlled less than 0.9.</li> </ul>	(1) The micro satellites, which do not have propulsion systems, will be injected lower altitude than 600 km to limit their orbital lifetime shorter than 25 years.
5	Ground safety from	Projects are improving their status.  1) Spacecraft are being designed to comply with the	

	the re- entering debris	required casualty expectancy. Some types of materials are refrain from application because of their high melting point or large specific heat.  2) A series of HTV, which is a transfer vehicle for logistics to ISS, is being conducted controlled reentry. The second stage of H-2B has conducted controlled re-entry experimentally in February 2011.  3) Research and development are being conducted to reduce the re-entry survivability. A composite propellant tank is an example.  4) Re-entry analysis tool and procedure are being improved.	(1) The effort to reduce the risk of re-entry by selecting adequate material should be encouraged in the world.
6	Preventing collision with large debris	To prevent orbital collision all possible effort is conducted.  1) Conjunction assessment will be conducted using available information in the world, then collision avoidance manoeuvre will be planned, if necessary.  2) JAXA satellite (Daichi) conducted a collision avoidance once in 2009.  3) Research and development are being conducted to observe approaching objects as small as possible.  4) Japan is working in ISO to develop standards for data exchanging and general conjunction analysis procedure.	<ol> <li>When the collision avoidance is essential, the information of approaching spacecraft is needed, but sometimes it may not be available.</li> <li>When the break-ups occur, it is risky to operate spacecraft or launch vehicles through debris cloud. A break-up event should be informed by operators or by on-ground observers immediately after fragmentation.</li> <li>It should be noted that, in future, collision among orbital objects will be a major source of the deterioration of the environment.</li> </ol>
7	Prevent collision with manned space system at launching phase	Collision avoidance at new launch (COLA) with manned system is required in the every launching operation.  1) Lift-off time is coordinated not to collide with manned system, International Space Station.	<ol> <li>COLA with manned system is expected to be applied to all the worlds launching operations.</li> <li>Not all the manned systems are providing orbit characteristics and a manoeuvring plan. In this case COLA is not available for such systems.</li> </ol>
8	Preventing damage by collision with tiny debris	Recent large satellites in JAXA adapt protection measures in spite of loss of payload mass.  1) Exposed wire-harness, propellant tanks, and other critical items are shielded against impact of tiny debris.  2) A protection design manual has been developed.	Since the world debris     environment models do not     show good agreement for     micro-millimetre objects,     excessive heavy masses for     shielding tend to be required.     Protection technology is still     under development.

Table-2 Recommendations/Requirements in Major World Debris Mitigation Standards (1/2)

		Measures	IADC Guidelines <sup>(a)</sup>	UN Guidelines <sup>(b)</sup>	ISO 24113 <sup>(c)</sup>	NASA (NASA-STD 8719.14) <sup>(d)</sup>	US Gov. STD Practice (and NPR 8715.6A) <sup>(e)</sup>
	Mission Related Objects	Operational Debris	Addressed in 5.1	Addressed in Rec-1	Required	(1) LEO: >1mm (decay within 25 years, and total < 100 object-years) (2) GEO: > 5cm (decay - 500km within 25 years)	> 5 mm (decay within 25 years)
,	ı Relat	Slag from solid motor			Required		
	Aission	Pyrotechnics			Combustion Products < 1 mm		
1		secondary ejector					
	On-orbital Break-ups	Intentional Destruction	Addressed in 5.2.3	Addressed in Rec-4	Required	(1) <100 object-years (for > 10 cm) (2) Fragments > 1mm shall be limited 1 year (3) Fragments > 1mm, collision with operating S/C shall be limited < 10 <sup>-6</sup> ,	
)n-orbita	Accident during Operation	Addressed in 5.2.2 (Monitoring)	Addressed in Rec-2	Probability of BU < 10 <sup>-3</sup>	Probability of BU < 10 <sup>-3</sup>	Required	
		Post Mission Break-up	Addressed in 5.2.1	Addressed in Rec-5	Required	Required	Required
Coll	ision	with Large Objects	Addressed in 5.6	Addressed in Rec-3 (CAM, COLA)		< 0.001 (with > 10 cm)	
		with Small Objects	Addressed in 5.6			probability of accidental collision which prevent disposal success > 0.01	
Post Mission Disposal	GEO	Reorbit at EOL	235 km+ (1,000 · Cr · A/m) e < 0.003	Addressed in Rec-7	235 km+ (1,000 · Cr · A/m) e < 0.003 Success Probability > 0.9 100 years' guarantee	235 km+ (1,000 · Cr · A/m) e < 0.003 Success Probability > 0.9 100 years' guarantee	>36100 km (> 300km + GEO)
Post 1		GEO Lower Limit	-200 km			GEO - 500 km	
		Protected Inclination	-15< latitude <15 deg.		-15< latitude <15 deg.	-15< latitude <15 deg	

	Reduction of Orbital Lifetime	Addressed in 5.4 (Recommend 25 years)	Addressed in Rec-6	EOL Lifetime < 25 years Success Probability > 0.9	Lifetime: Total <30 years, EOL <25years, Success Probability >0.9	EOL Lifetime <25years
LEO (MEO)	Transfer to Graveyard			Required 100 years' guarantee	2,000 km ~ (GEO-500 km) (exclude 19,100 - 20,200 km)	2,000 - 19,700 km 20,700- 35,300 km
II	On-orbital Retrieval	Addressed in 5.4			Retrieve within 10 years	Retrieve within 10 years
	Ground Casualty	Addressed in 5.4	Addressed in Rec-6	Required	Ec < 10 <sup>-4</sup> , (Count impact energy> 15 J)	$Ec < 10^{-4}$

Abbreviations: a: semi-major axis, Cr: solar reflection coefficient, A/m: Area Mass Ratio, Ec: Number of Casualty, e: eccentricity, EOL: End of Operation Life, Rec: Recommendation

Note: "Success Probability" for disposal P(D|M) is a conditional probability expressed by  $P(D|M) = \frac{P(M \cap D)}{P(M)}$ ,

here, P(M) = (mission success probability), and  $P(M \cap D)$  = (probability of correctly performing both mission and disposal phases).

Table-2 Recommendations/Requirements in Major World Debris Mitigation Standards (2/2)

		Measures	European Code of Conducts for Space Debris Mitigation <sup>(f)</sup>	JAXA (JMR-003B <sup>(g)</sup> )	RSA	ESA (April 2008) Space Debris Mitigation for Agency Projects <sup>(h)</sup>
7	Ę	Operational Debris	Required	Required	Required	Required
Mission Roloted	Objects	Slag from solid motor	Slag < 0.01mm (Changed to 1mm)	Required		Slag < 1 mm
Miss		Pyrotechnics	Objects < 0.01mm	Combustion Products < 1 mm		Particles < 1mm
		secondary ejector	Required (SD-DE-07)		Required	
_	- s	Intentional Destruction	Required (SD-DE-04)	Required	(Allowed just before the impact to the Earth)	Required
orbita	Break-ups	Accident during Operation	Probability of BU < 10 <sup>-4</sup> (SD-DE-05)	Probability of BU < 10 <sup>-3</sup>	Required	
	Break-up (Passivation, etc.)  Inner Press. < 50% of critical Press. Dispose within 1 year		Inner Press. < 50% of	Required	Required	Required (to be conducted < 2 months)
		with Large Objects	Required	Required (CAM, COLA)	Risk Assessment	Risk Assessment
Col	lision	with Small (Recommended by Objects other document) Required		Required	Risk Assessment	
sal	GEO	Reorbit at EOL	235 km+ (1,000 · Cr · A/m) Success Probability >0.9	235 km+ (1,000 · Cr · A/m) e < 0.003 Success Probability > 0.9 100 years' guarantee	235 km+ (1,000 · Cr · A/m)	235 km+ (1,000·Cr·A/m) e < 0.005
Dispo		GEO Lower Limit	-200 km	-200 km		
lission		Protected Inclination	-15< latitude <15 deg.	-15< latitude <15 deg.		-15< latitude <15 deg.
Post Mission Disposal	ЕО (МЕО)	Reduction of Orbital Lifetime	EOL Lifetime < 25 years Success Probability > 0.9	EOL Lifetime < 25 years Success Probability > 0.9	EOL Lifetime < 25 years	EOL Lifetime < 25 years
	LEO (	Transfer to Graveyard	Required	Required		(Excluding Galileo orbit)
	Ţ	On-orbital Retrieval		Required		

	Ground Casualty	Ec < 10 <sup>-4</sup> (Excluding France)	Ec < 10 <sup>-4</sup>	Required (Poisoned material)	Ec < 10 <sup>-4</sup>
--	-----------------	---	-----------------------	------------------------------------	-----------------------

- a) IADC-02-01: IADC Space Debris Mitigation Guidelines, (Revised September 2007, Revision 1),
- b) Space Debris Mitigation Guidelines of the COPUOS, United Nations Office (Resolution of 22 December 2007)
- c) ISO-24113 Space Debris Mitigation (DIS) (published by the end of 2010),
- d) NASA-STD-8719.14: Process for Limiting Orbital Debris (Approved: 2007-08-28)
- e) NPR 8715.6A: NASA Procedural Requirements for Limiting Orbital Debris, (Effective 19 February 2008)
- f) European Code of Conduct for Space Debris Mitigation (28 June 2004, Issue 1.0)
- g) JAXA-JMR-003B: Space Debris Mitigation Standard, (1 February 2011)
- h) ESA: Space Debris Mitigation for Agency Projects, ESA/ADMIN/IPOL(2008)2, Director General's Office (1 April 2008)

#### 2. Further risk assessment

As mentioned in section II, the current and prospected future situation indicate that it is not enough just to encourage the limitation of the generation of debris. Protection from the impact of debris and mission success should be emphasized more. The many of the problems that are identified in sub-section 1.3 are also indicated that the protection of space systems and human are subjects to be discussed for sustainability. In short, adding to the current mitigation measures, the following six risks are to be put more emphasis for discussion.

- (1) collision with detectable large objects,
- (2) impact of undetectable tiny particles,
- (3) collision during launch at least with manned spacecraft,
- (4) debris cloud generated by break-up,
- (5) risk of re-entering debris to the human on the ground, and
- (6) insufficient quality and reliability of spacecraft.

This section shows the concept of the approach of the risk assessment, and method to find the vulnerability in current systems to ensure an effective output to the Long-Term Sustainability of Outer Space Activities.

#### 2.1 Risk Identification and assessment

The following table simply shows the result of risks assessment. The influences and probabilities for some items are difficult to define, but we will be able to agree that none of these risks can be ignored.

**Table-3 Risk Assessment** 

	Risk	Influence	Probability	Risk Magnitude
1	Collision with detectable Large objects	Loss of function Break-up, and terioration of environment	$<10^{-3} \sim 10^{-5}$	Risk: Medium - Probability: Small - Influence: Large - Action: Insufficient
2	Impact of undetectable tiny objects	<ul><li>(1) Loss of function for mission and disposal activities.</li><li>(2) Break-up</li></ul>	Failure rate is expected to be less than 0.01	Risk: Medium - Probability: Large - Influence: Medium - Action: Insufficient
3	Collision during launch	(1) In case of ISS, human casualty	N/A	Risk: Medium - Probability: Large - Influence: Medium - Action: Insufficient
4	Debris cloud generated by break- up	Loss of function Delay of launch timing	About 200 break-up events have been observed	Risk: Large - Probability: Large - Influence: Large - Action: Being promoted
5	Risk of re-entering debris to the human on the ground	(1) Casualty on the ground (2) Pollution on the ground	Expected to be less than 0.0001.	Risk: Unignorable - Probability: Small - Influence: Medium - Action: Insufficient
6	Insufficient quality and reliability of space systems	Loss of function Break-up, and deterioration of environment	N/A (Quality & Reliability differ depending on manufacture)	Risk: Various - Probability: Large - Influence: Various - Control level is varied

# 2.2 Identification of Subjects and Contingency Planning

According to the risk assessment as shown in the table, all of six subjects were submitted to the contingency plan, and identified as subjects to be improved. The concept of contingency planning approach is described in Figure-1. Such approach will clear the current experiences and practices as well as problems to be solved in the future.

#### Preventive actions will include, as examples; (1) Preparing infrastructures to know the situation of environment by **Preventive** observation, monitoring, modelling, analysis and prediction for future. **Actions** (2) Also, those to distribute, exchange, and share the information. (3) Development of technologies for modelling, assessment, estimation, designing, verification, and operation procedures for contingency. Detection of threat will include, as examples; **Detection of** Ground infrastructure and on-board devices to monitor the condition both Threat / Risk of the environment and space systems, and (2) Warning system to notify the generation of risky event Recovery & Corrective Actions will include, as examples; Recovery (1) Risk assessment to determine further actions (2) Action for recovery, contingent procedure, if needed & Corrective [Note: The function is to avoid, protect, change to a redundant system. Actions Remove damaged part will be prepared in the "risk preventive Permanent Actions will include, as examples; Permanent Research and development to reduce the risk for future, **Actions** New international activities to improve the situations, **(2)** (3) Mutual support system for the world space challengers.

Figure-1 Concept for Contingency Planning Approach

The contingency planning for each subjects are described in Table-4.1~6, which describe current experiences and practices, and also the problems to be solved. The problems seem to be common in the world.

#### 3. Best Practices and International Cooperation

The problems identified in Table-4.1~6, should be discussed. The measures and practices to be solved, are described in Table-5. The WG may create common understanding and develop fundamental philosophy, methods or conceptual procedure as the best practices. However, not all subjects may be solved by the UN framework. There are subjects that can be dealt by other international bodies, such as ISO and IADC, as described in the right side row of Table-5.

Table-4.1 Experiences and Practices Sheet -1

Sheet No. RA-2-	-1 Date: 2011/08/25	Status: Open / Close, Rejected	
Rev: NC			
Category	Threat: Space Debris		
	Risk Factor: Collision with large Objects		
	Influence to: General Spacecraft		
	n of collision with larger objects ( > 10 cm)		
Description	Collision to operational satellites with large o		
(risk scenario,	catastrophic break-up which may deteriorate of	orbital environment for decades years	
potential	or longer.	1.1.4.6. 1	
problem, etc.)	The US provides warning service to the world		
D: 1 4	manoeuvre and practices are responsible of ov	whers of spacecraft.	
Risk Assessment	Probability: around 0.00001 impact/year/m2		
	Influence: Large	Risk Magnitude: Unignorable	
	(generate thousands of debris)		
	Contingency Planning		
Step	Status	Problem	
Risk	Large objects (> 10cm) are detected, tracked,		
Identification	and catalogued by the US, and being provided		
V	to the world.		
Design	Function and resources for avoidance		
Measures	manoeuvre are incorporated to the systems.		
Operational	Collision avoidance manoeuvre will be		
Measures	planned.		
Detection of	Conjunction assessment will be conducted		
Event	using available information in the world.		
Counter	Conduct collision avoidance manoeuvre with	It is not possible to know that the	
Measures	coordination with the counterpart, and return	approaching satellites are under	
	to the original orbit.	operation or not, or who is the	
		operator of the satellites.	
		The status of space objects	
		(operational or not, etc.) cannot	
		be confirmed in the UN website.	
Permanent	(Encouragement of disposal at the EOM.)		
Measures			
	Guidance for Best Praction	ces	
Problems	The events of launch and termination of operati	on are to be registered in UN	
	website.		
	Operation status (operational or EOM) is not necessarily registered.		
Guidance for	Registration of the operational states is encoura	ged.	
	Best Practice		
Link to Other			
Organizations			
Remarks:	Disclosure of every contact point for operation	centre will not be welcomed with	
	relation to security aspects.		

Table-4.2 Experiences and Practices Sheet -2

Sheet No. RA-2-Rev: NC	2 Date: 2011/08/25	Status: Open / Close	, Rejected	
Category	Threat: Space Debris			
Curegory	Risk Factor: Collision with	tiny objects		
	Influence to: General Space			
Title: Protection	from damage caused by coll			
Description Description			s smaller than 1mm could penetrate	
(risk scenario,			wer cables exposed to outer space.	
potential			I to the actual environment, and	
problem, etc.)	cost-effective design measu			
Risk Assessment				
	for 0.5 mm de			
	Influence: Failure rate is ex		Risk Magnitude: Unignorable	
	than 0.001	1		
	Conti	ngency Planning		
Step	Status		Problem	
Risk	The debris distribution data is		Current models for tiny debris	
Identification	which have been developed b	y a few space	have not been verified.	
	agencies based on the results	*		
	conducted decade's years ago			
Design	Protection design (by shielding		Cost-effective protection	
Measures	location behind hard material	s) is applied, and	design has not been	
	being developed.		established.	
Operational	(Basically, no operation meas	ures.)		
Measures				
Detection of	(Detection of impact may be	possible.)		
Event				
Counter	(Basically, no measures durin	g operation.)		
Measures				
5 11		nce for Best Practices		
Problems	- Actual environment is no			
	- Cost-effective protection			
Guidance for			bital environment for tiny debris.	
Best Practice		Nations will be encouraged to research for risk assessment method and cost-		
effective protection design. (Now IADC is chall				
Link to Other UNCOPUOS is expected to encourage nations to co				
Organizations environment, and develop protection design, through IADC or other international academic bodies.				
Remarks:		or tiny debris which is expected to		
	be installed in world satellites		,	
ov mounted in work swellings.				

Table-4.3 Experiences and Practices Sheet -3

Sheet No. RA-2-	3 Rev: NC   Date: 2011/08/25	Status: Open / Close, Rejected			
Category	Threat: Human Activities				
	Risk Factor: Collision during Launch	: Collision during Launch			
	Influence to: Manned Systems in Orbit				
Title: Collision a	avoidance with manned systems during the law	unch			
Description	Launch vehicles and other objects released from	om the vehicle may cause			
(risk scenario,	collision with ISS or other manned systems.				
potential	Analysis to avoid such collision is not so diffi	cult, and worthy to avoid tragedy			
problem, etc.)	and social impact.				
Risk Assessment	Probability: Depends on the situation				
	Influence: Human casualty and system loss	Risk Magnitude: Unignorable			
	Contingency Planning				
Step	Status	Problem			
Risk	Usually ISS has a function to avoid collision	There are a few nations to			
Identification	by manoeuvre, but it is not available for a few	conduct the collision			
	days after new launch which would not be	avoidance in launch			
	recognized by the ISS operators. Lift-off time	operation.			
	is controlled not to cause collision.				
Design	N/A				
Measures					
Operational	Analysis is conducted, and the lift-off time is				
Measures	controlled if the risk is larger than threshold.				
Detection of Event	N/A				
Counter	N/A				
Measures	IV/A				
Permanent	N/A				
Measures	- 11-2				
	Guidance for Best Practices				
Problems					
Guidance for		avoidance control with manned system is expected to be			
Best Practice	encouraged to the world launch service pro-	viders.			
Link to Other	- World launch service providers				
Organizations					
Remarks:					

Table-4.4 Experiences and Practices Sheet -4

G1 17 5 : 5 : -	NG	D 0011/06/27	-	0 / 01 - 5 :	
Sheet No. RA-2-4 Re		Date: 2011/08/25	St	tatus: Open / Close, Rejected	
Category			ality of Space Systems		
	Risk Facto	•			
		o: orbital Environment			
Title: Protection fro		oud Generated by Break-up			
Description				nused in high altitude (GEO, etc.), and it	
(risk scenario,		re true if the break-up magnitud			
potential problem,				cause the density is high and collision	
etc.)		is high, and the most of them a			
				postponed until the situation would be	
		nd some of the fragments would			
		up are also caused by collision	amor	ng debris and the following chain reaction	
	of them.			1	
Risk Assessment		y: not known			
		more than 200 break-ups in history	ory)	Risk Magnitude: Unignorable	
	Influence:	Depends on situation			
		Contingency Plannin	19	•	
Step		Status	3	Problem	
Risk Identification	The event of	f break-up will be informed by t	the		
		gment distribution models which			
		have been developed in US and Europe may be			
	referred.				
Design Measures	N/A				
Operational	Safe mode o	peration may be conducted.			
Measures		may be re-arranged.			
Detection of Event		ases orbital characteristics of		If the break-up occurs in high	
v	orbital objec	orbital objects.		altitude, it may not be recognised by	
	,			anyone other than the operator.	
Counter Measures	(1) Hold th	ne launch operation until the		Distribution of fragments is expected	
		on of debris became cleared.		to be notified as soon as possible.	
	(2) Contro	l the altitude of S/C or retrieve		•	
		g devices.			
Permanent		in reaction of collision by		Consensus has not been made to	
Measures		xisting large objects from		remove the existing objects.	
		bital region.			
		Guidance for Best Pra	actice	es	
Problems	- On-orbi	t break-up might not be detected	d if it	caused in high altitude (GEO, etc.), and	
		e more true if the break-up mag			
		ional consensus has not been ma		•	
Guidance for Best				he operator is encouraged to inform the	
Practice		fact to the adequate organization (to be defined) to notify the events.			
				ework for further discussion to this	
		s necessary.			
Link to Other					
Organizations					
Remarks:					

Table-4.5 Experiences and Practices Sheet -5

Sheet No. RA-2	-5 Rev: NC Date: 2011/08/25	Status: Open / Close, Rejected				
Category	Threat: Re-entering Space Systems	1 / 3				
	Risk Factor: Impact on the ground which posed human casualty or ground					
	pollution					
	Influence to: Human on the ground and the E	arth environment				
Title: Human c	asualty caused by re-entering space systems					
Description	If the re-entering objects cause human casual	ty, it will not be limited to the				
(risk scenario,	tragedy for victims but to expand the social is	mpact leading to re-assessment on				
potential	the traditional space activities.	the traditional space activities.				
problem, etc.)		T				
Risk Assessment	Probability: expected to be less than 0.0001					
	Influence: human casualty,	Risk Magnitude: Unignorable				
	pollution on the ground					
	Contingency Planning					
Step	Status	Problem				
Risk	Historically almost dozens of space systems					
Identification	have been re-entering every year in average.					
Design	Some of the nations restrict the number of	There are no international				
Measures	casualties, by controlled re-entry, or selecting	guidelines to encourage design				
	adequate materials.	measures.				
Operational	N/A					
Measures	mi .	***				
Detection of Event	The operators can prospect the re-entering	High risk objects such as				
Event	event by analyses based on the orbital data.  The controlled re-entry and natural re-entry	nuclear power sources should be notified with the status and				
	of the high risk objects will be notified to the	risk.				
	world.	TISK.				
Counter	N/A					
Measures						
	Guidance for Best Practice	es				
Problems	- There are no international guidelines to en	courage design measures.				
	- High risk objects (nuclear power source) s					
	- There are no tools to identify the status (sy					
Guidance for	- The design and operation for safe re-entry	should be encouraged for large				
Best Practice	systems.					
		Information system to notify the re-entry of the high risk objects is expected				
	to be prepared. The status of nuclear powe shared to the world.	rea systems is expected to be				
Link to Other	Shared to the world.					
Organizations						
Remarks:						
remains.						

**Table-4.6 Experiences and Practices Sheet -6** 

Sheet No. RA-2-6 Rev: NC Date: 2011/08/25 Status: Open / Close, Rejected					
Sheet No. RA-2-6 Rev: NC				Status: Open / Close, Rejected	
Category		Threat: Insufficient Quality assurance			
	Risk Factor: Deterioration of orbital environment				
Influence to: General Space Activities  Title: Ensuring the quality of space systems not to be debris easily					
Description					
(risk scenario,	The influences of low quality spacecrafts are not limited to the economical loss of its owner but pose the risk to other space users by injecting additional debris as a				
potential problem,	source of collision risk and potential break-up event. Ensuring the quality is the				
etc.)	most basic measures for debris mitigation.  Except some nations whose government control them by licensing system, there is				
,					
	no restriction to prevent them.				
Risk Assessment	Probability:	N/A-			
Influence:		Not known		Risk Magnitude: Unignorable	
		Contingency Plan	ning		
Step		Status		Problem	
Review		government is expected t			
		rol or authorize its domes	tic		
	activities. (2) A spacec	roft with low reliability of	auld.		
	(2) A spacecraft with low reliability could be limited to its altitude lower than 600km.				
Design Measures					
		tandards to ensure the qua			
		ural and induced environ			
Operational	An adequate o	perational measures inclu	ding		
Measures disposal action would be taken, if any malfunction would be detected.					
Detection of	Periodical mor	nitor for the critical paran	neters.		
Event	т.	1 111 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		NT.	
Permanent		should be accumulated i		Newcomers and students may not	
Measures	technical standards (ISO, etc.) which can be obtained by market.		have chances to learn the		
				knowledge or experiences. The matured technologies are	
				encouraged to be shared through	
				the ISO and other international	
				standards.	
		Guidance for Best	Practic		
Problems				e chances to gain the knowledge or	
	experience.				
Guidance for	international standards.  - Before to invite the legal framework, every nation is encouraged to strengthen its				
Best Practice				2	
				y nation is encouraged to strengthen its	
	self-gover				
Link to Other				), other bodies to provide technical	
Organizations					
Remarks:					

Table-5 Subjects to be discussed and potential measures

1. Risk Factors	2. Subjects to be Discussed/ Problems	3. Measures/Efforts	4. Forum for solution
1) Protection from collision with detectable large objects	It is not possible to know that the approaching satellites are under operation or not, or who is operating the satellites.	Following information is to be registered on the UN website. a) Launch event b) Function ( Possibility to conduct avoidance manoeuvre) c) Status (Under operation or not) d) Contact point to coordinate collision manoeuvre	Information sharing (by UN framework)
2) Protection from impact of undetectable tiny objects	<ol> <li>Current models for tiny debris that have not been verified.</li> <li>Cost-effective protection design is not yet established.</li> </ol>	To encourage academic institutes to conduct research for followings;  a) Investigate the orbital environment for tiny debris, and improve debris models.  b) Research for risk assessment method and cost-effective protection design. (Now IADC is challenging.)	Cooperation with other bodies (Encourage IADC or other academic bodies)
3) Protection from collision during launch	There are few nations to conduct the collision avoidance control.	Collision avoidance control for manned system at launch is expected to be encouraged to the world launch service providers.	Suggestion from UN for international cooperation
4) Protection from debris cloud immediately after break-up	<ol> <li>If the break-up occurs in high altitude, it may not be recognised by anyone but the operator.</li> <li>Distribution of fragments is expected to be notified as soon as possible.</li> <li>Consensus has not been made to remove the existing objects by international activities.</li> </ol>	<ul> <li>a) If the operating satellites cause break-up, the operator will be encouraged to inform the adequate organization (to be defined) to notify the events.</li> <li>b) The authority that has the ability to observe the environment is expected to notify the event to the world.</li> <li>c) To remedy the orbital environment, the framework for further discussion to this matter is necessary.</li> </ul>	Suggestion from UN for international cooperation
5) Protection from re-entering debris for the human on the ground	It is not possible to predict impact zone in the case of uncontrolled re-entry. But high risk objects are expected to be notified to the world.	<ul> <li>a) The design and operation for safe re-entry should be encouraged for large systems.</li> <li>b) Information system to notify the re-entry of the high risk objects is expected to be prepared. The status of nuclear powered system is expected to be shared to the world.</li> </ul>	Suggestion from UN for international cooperation
6) Ensuring quality and reliability	New comers and students may not have chance to learn the knowledge or experiences.	a) The matured technologies are encouraged to be shared through the ISO and other international standards.	Cooperation with other bodies (Encourage ISO or other societies)

Note: The command destruction will be conducted in the ascending trajectory during which the rocket body would be fallen to the ground if the thrust would be terminated. And the nature of destruction is not the complete fragmentation but making holes on the surface of the propellant tanks to evacuate propellants. Then such destruction would not generate orbital debris usually.

## IV. Allocation of Subjects According to the Identification in ToR

Section II and III provide the experiences, practices and subjects to be discussed. This section present them with allocating to the titles categorized in ToR.

#### 1. Space Debris

- (1) Measures to reduce the creation and proliferation of space debris
  - (a) The status in Japan and some problems are described in Section III.

Brief summary is described as follows;

- JAXA registered the Space Debris Mitigation Standard (JMR-003) version B in February 2011. All the JAXA projects apply this standard and the compliance with the standard is reviewed by the safety review held at the end of major lifecycle phase.
- The requirements of the standard are almost equivalent to ISO-24113; Space Debris Mitigation Requirements, and other world guidelines.
- The compliance with the standard is well for "mission related objects", "prevention from break-ups", "removal from the GEO protected region". Some subjects presented in sub-section 1.3 in section III and table-1 is identified to be improved.
- In the commercial launch service of H-IIA vehicle, JAXA is responsible for safety review and debris mitigation review. Then not only JAXA's spacecraft but also those offered by other organizations. Micro satellites offered by the universities are also submitted for review.
- (2) Collection, sharing and dissemination of data on functional and non-functional space objects
- (a) These subjects are discussed in "Tools to support collaborative space situation awareness".
- (3) Re-entry notifications regarding substantial space objects, and also on the re-entry of space objects with hazardous substances on board
- (a) Precise prediction of uncontrolled re-entry is difficult. Risk mitigation design should be encouraged not to use materials which have high melting temperature or specific heat.
- (b) JAXA recognizes that the definition of "substantial space objects" has not reached to international consensus even in IADC.
- (c) JAXA has conducted controlled re-entry for HTV and the second stage of H-IIB.
- (d) JAXA requires in JMR-003B to refrain from using materials which will survive re-entry due to their high melting point or high specific heat. It should be encouraged in the world.

- (e) JAXA is evaluating large objects which will re-enter in near future, and predicts their re-entry time. The data sources are the US Space Track-web and the observation data from domestic facilities.
- (f) The status of nuclear powered system is expected to be shared to the world.
- (4) Technical developments and possibilities regarding space debris removal
- (a) With the understanding that the removal of existing large objects from the crowded useful orbital region is essential to ensure the sustainability, Japan conducting research for technologies to remove objects mainly using the electric dynamic tether system.
- (b) It would be welcomed that the framework to discuss this matter in the world level in UN or other bodies.

#### 2. Space Operation

- (1) Collision avoidance processes and procedures
- (a) Conjunction assessment is conducted using available information in the world, and avoidance manoeuvre will be planned, if necessary.
- (b) JAXA, based on knowledge and experiences acquired by these activities, participates in CCSDS and ISO forum to establish the standards for conjunction and collision avoidance analysis.
- (2) Pre-launch and manoeuvre notification
- (a) JAXA provides pre-launch notifications in accordance with Hague Code of Conduct (International Code of Conduct against Ballistic Missile Proliferation).
- (b) JAXA analyses the probability of collision with manned system and control launch window. It is expected that the collision avoidance control for manned system at launch is expected to be encouraged to the world launch service providers.
- (c) Notification of orbital change of ordinal spacecraft is not easy for its frequency.
- (3) Common standards, practices and guidelines
- (a) There are several international standards registered or being developed in ISO. JAXA is contributing those activities, such as;
  - JAXA Space Debris Mitigation Standard, JAXA-JMR-003, 2004
  - IADC Space Debris Mitigation Guideline, 2001
  - ISO-24113, Space Debris Mitigation Requirements (published by the end of 2010)
  - CCSDS 502.0-B-2, Orbit Data Message, November 2009
  - CCSDS 508.0-W-3, Conjunction Data Message, V3, July 2011
  - ISO-WD 16158, Avoiding Collisions Among Orbiting Objects, V3, June 2011

#### 3. Tools to support collaborative space situation awareness

- (1) Registries of operations and contact information
- (a) To assess the risk and plan the avoidance manoeuvre, following information is useful but currently not easy to obtain. It is expected to be obtained timely on the UN website.
  - Launch event
  - Function ( Possibility to conduct avoidance manoeuvre)
  - Status (Under operation or not)
  - Contact point to coordinate collision manoeuvre
- (2) Storage and exchange of information on space objects and operational information
- (a) The information of orbital characteristics can be obtained from US space surveillance network, and Japan basically depend on that.
- (b) In Japan, to have the ability to detect orbital objects, adding to the optical telescope (detectable  $\sim 1.0$  m size in GEO), and the radar facility, research is being done to detect smaller objects in GEO and LEO.
- (c) If the operating satellites would cause break-up, the operator should inform the fact to the adequate organization (to be defined) to notify the events. The authority which has the ability to observe the environment is expected to notify the event to the world.
- (3) Guideline of collection, sharing and dissemination of data on functional and non-functional space objects
- (a) JAXA complies with the procedure in accordance with Convention on Registration of Objects Launched into Outer Space and Recommendations on enhancing the practice of States and international intergovernmental organizations in registering space objects (UN General Assembly resolution 62/101), so JAXA notifies the United Nations of information when the space object was registered and no longer functional or in Earth orbit.
- (b) JAXA publishes satellite launch information and on-orbit operation status as needed.
- (c) JAXA intends that sharing of information regarding functional and non-functional space objects is achieved through the usage and improvement of UN OOSA website.

#### 4. Others:

(1) The damage by impact of tiny objects is enough critical for spacecraft:

JAXA is applying the protection design against impact of the tiny debris smaller than 1mm. However the current population models for tiny debris have not been verified. Also Cost-effective protection design has not been established. UN is expected to encourage academic institutes to conduct studying these technologies.

For the purpose to detect and survey the population of tiny objects, on-board detector is being developed in JAXA.

- (2) Technical standards, best practices and lessons learned for the successful development and operation of space systems.
- (a) Quality and reliability is a principle factor for the debris issue. However new comers and students may not have had the chance to learn, experience and have the knowledge. The matured technologies are encouraged to be shared through the ISO and other international standards.
- (b) The command destruction during flight safety ranges would not generate long lived orbital debris. If it should be discussed from the point of sustainability, it can be discussed as a matter of safety management and quality of relevant system and devices.
- (3) Rendezvous and proximity operation
- (a) JAXA, as a member of ISS program, operates HTV based on internationally agreed rendezvous, proximity operations and the de-orbit operations procedure. The detection, notification and avoidance procedure for close approach of space debris are also specified in the flight rule and the operations interface procedure.
- (b) In formation flight operations, operation boxes are allocated and operation will be coordinated among the member bodies.

#### V. Conclusion

- (1) Considering current and future situation in orbital environment, which cannot be recovered with existing Debris Mitigation Standards, 6 items of subjects are identified. The subject, problems and best practices induced from them should be discussed in UN framework.
- (2) Emphasis should be put on the protection of operating space systems, and human in orbit and on the ground.
- (3) Also, more positive action to remedy the environment will be required to sustain space activities in the near future. The discussion with regards to removing existing large debris cannot be avoided. If the WG can identify the significance, it can be transferred to the next step to improve the situation.
- (4) It is not necessary to define that all the best practices shall be implemented in the UN framework. Other international bodies can work for them.

# The Experiences and Practices related to the Long-Term Sustainability of Outer Space Activities in Japan (Regarding space weather)

#### 1. Introduction

This report describes Japanese space weather activities related to the Long-Term Sustainability of Outer Space Activities (LTS). It is expected this information will contribute to the activities of the LTS WG.

#### 2. Objective

Space environment that causes satellite anomalies and negative effects to the human activities both in space and on the ground is referred to as space weather.

In order to develop good ways to avoid such risks, it is important to gather existing information on space weather and the practices for mitigating the risks, which are attached to this report.

#### 3. Experiences and practices

#### 3-1) Space-based observation

JAXA (Japan Aerospace Exploration Agency) is now conducting space environment measurement by using 5 satellites (LEO; 2, GEO; 1, QZS; 1 and ISS (International Space Station)/JEM (Japan Experimental Module)). This data has been provided in real time or quasi-real time, depending on the situation via JAXA website.

NICT (National Institute of Information Communication Technology) is also gathering solar wind data by using NICT ground station. Solar wind data has been provided via NICT website in real time.

#### 3-2) Ground-based observation

Ground-based observation has been performed by NICT and SERC (Space Environment Research Center, Kyushu Univ.) NICT conducting solar observations by optical telescope and radio telescope. This data has been provided via NICT website in real time. SERC has deployed magnetometer systems to over 50 places all over the world. This data have been provided via SERC website in real time or quasi-real time.

#### 3-3) Space weather modelling

There are several space weather models in Japan and most of them are being developed in the universities. JAXA also has been developing a space radiation model based on the observation data collected by JAXA satellites. It is an empirical/average model depending on the solar activity. This year, JAXA published a paper which demonstrates a new dynamical mode.

# 3-4) Space weather forecast tool

NICT has been developing a real time geospace simulator, whose input is real time solar wind information. This year, NICT succeeded to calculate space plasma

environment in the vicinity of satellites. The NICT geospace simulation data is open to the public via the NICT website.

## 3-5) Standard for satellite manufacturing

Space weather effects on the satellite charging and the single event etc. JAXA has been revising the document of the standard for satellite manufacturing.

## 4. Summary and future task

JAXA has a responsibility of satellite manufacturing and operation, while NICT is in charge of space weather forecast. Issues 3-4) and 3-5) will advance more in these agencies. On the contrary, space weather observation and space weather modelling have a common nature. Hence, it is expected to combine the results of research and development in the universities and other institutions in order to contribute to the long-term sustainability of outer space activities.

# Risks raised from Space Weather

		Т	<u> </u>	Г
	Item	General description	Influence and concern	Measurement in Japan
1	Level and Trend of Solar Activity	Overview proxy of solar activity level based on synoptic observation.	General space environment risk evaluation.	f10.7 radio index (NICT)
2	Solar X-ray Radiation	Total X-ray intensity radiated from the Sun. On the solar flare, the intensity increase rapidly. Long duration event (LDE) on X-ray plot is important because the LDE event sometimes drives disturbance harmful for space systems.	Solar X-ray radiation is the widely used indicator for solar activity level and flare and associating disturbance harmful for space systems.	None
3	Solar High Energy Particle	Ions accelerated to high energy by solar flare and/or CME process including interplanetary disturbance.	Onboard computer malfunction due to upset of semiconductor devices, deterioration of SAP, electric devises, optical sensor etc	In-situ measurement by satellite sensor (JAXA)
4	Solar Flare and CME Occurrence	Flare and CME information and listings including time, location, scale and associated events are all important pieces of information.	Solar flares and associating CME are a major source of space environment disturbances. Generally, long duration and strong flares are thought to be important for risk evaluation on satellite operation sources of geomagnetic storms. CMEs are the main cause of geomagnetic storms.	Ground-base observation (NICT)
5	Coronal Holes	Coronal hole information and listings include time, location, and scale.	Coronal holes are a major source of high speed solar wind, which produces geomagnetic storm.	Remote measurement by satellite sensor (JAXA)
6	Galactic Cosmic Rays	Highly energetic particles coming from out of the solar system.	Onboard computer malfunction due to upset of semiconductor etc	Measurement by satellite sensor (JAXA)
7	Solar wind plasma data	Plasma and magnetic field property of interplanetary space. Geomagnetic storms are results of electro-magnetic interaction between solar wind magnetic field and geomagnetic fields.	Precaution of geomagnetic disturbance caused by interplanetary shock. Substorms caused by high speed wind stream is the potential cause of satellite malfunction.	Measurement by satellite sensor (JAXA)

8	K-index of geomagnetic field	Horizontal component of geomagnetic field strength observed by ground based observations. Planetary K index (Kp) is convenient to identify geomagnetic substorm.	General space environment risk evaluation.	Ground based magnetometer observation network and its real-time data circulation (SERC)
9	Dst-index of geomagnetic field	Horizontal component of geomagnetic field at magnetic equator derived by ground based observations. Dst index is convenient to identify geomagnetic storm.	General space environment risk evaluation.	Ground based magnetometer observation network and its real-time data circulation (SERC)
10	Low energy electrons at GEO	Near GEO, electron population with energy of keV changes with geo-magnetic sub-storm.	KeV electron is considered major driver of satellite surface charging and following discharging. The surface charging and discharging is one of the major cause of GOE satellite malfunction.	None
11	High energy electrons at GEO	Near GEO, electron population is dominant comparing with proton. The electron flux change has a relation with geomagnetic storm and sub-storm.	High energy (>MeV) electron is considered a major driver of satellite charging and following discharging including component and harness inside spacecraft. The charging and discharging is one of the major cause of GOE satellite malfunction.	In-situ measurement by satellite sensor (JAXA)
12	Low energy electrons at LEO	Electron precipitation is dominant in high-latitude auroral region. The electron flux change has a relation with geomagnetic sub-storm.	KeV electron is considered major driver of satellite surface charging and following discharging. The surface charging and discharging is one of the major causes of LEO satellite malfunction.	None
13	High energy protons at SAA	Near SAA (South Atlantic Anomaly) region, high energy proton population is dominant.	Onboard computer malfunction due to upset of semiconductor devices, deterioration of SAP, electric devises, optical sensor etc	In-situ measurement by satellite sensor (JAXA)
14	Solar EUV proxy index	Atmospheric density change in LEO is caused by solar EUV radiation, which influences air drug to LEO satellite	The proxy called f10.7 is used as solar EUV proxy parameter to deduce satellite drag on satellite orbital analysis. Abrupt increase of the proxy may cause severe trouble due to drastic changes of satellite drag.	None

15	Auroral Electro jet index	Atmospheric density change in LEO is caused by the energy input in the auroral atmosphere, which influences air drug to LEO satellite	The AE is used to atmospheric density model, which leads to satellite drag on satellite orbital analysis. Abrupt increase of AE may cause severe trouble due to drastic change of satellite drag.	Ground based magnetometer observation network and its real-time data circulation (SERC)
16	Ionospheric Disturbances	Plasma density change in the ionosphere is caused by the geo-magnetic storm and substorms.	Operation of satellite at various altitude and ground communications by using radio waves are influenced by the ionospheric condition.	Ground based measurement by ionospheric observatory and in-situ measurement by satellite sensor (SERC).