



The UN Budget Process



Legislative Framework

What governs our day-to-day operations in terms of financial management, budgeting and administration?

- The Charter of the United Nations
- General Assembly/Security Council resolutions
- Financial regulations and rules (UNFRR)
- Regulations and Rules Governing Programme Planning, the Programme Aspects of the Budget, the Monitoring of Implementation and the Methods of Evaluation (PPBME)
- Secretary-General Bulletins/Administrative Instructions



Resolution 72/266 A on Annual Budget

Para 6. “Approves the proposed change **from a biennial to an annual budget** on a trial basis ...”;

Para. 8. “Decides that the plan outline shall be submitted every three years”;

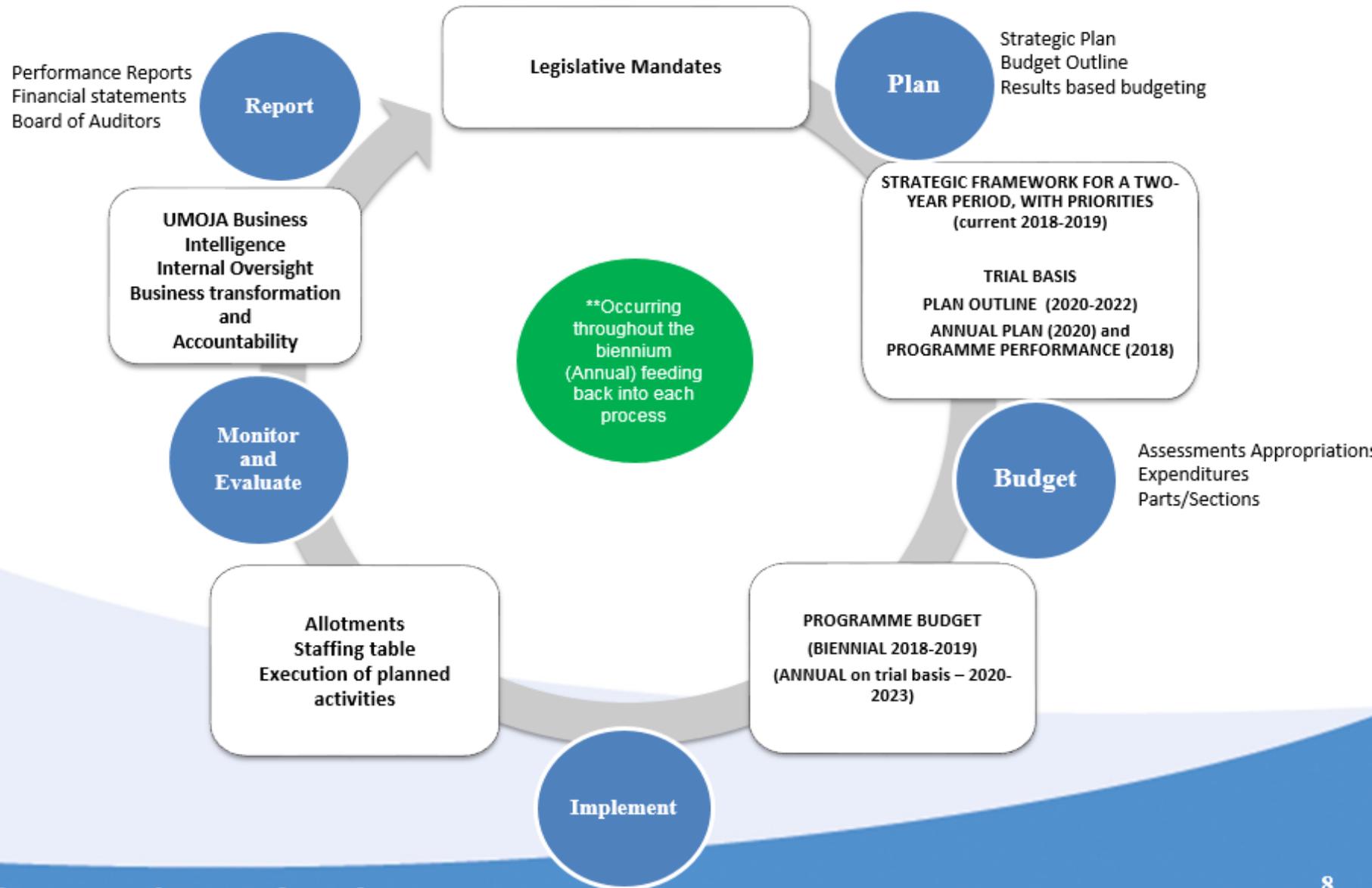
Para. 10. “Decides that the proposed programme budget document shall consist of three parts:

- (a) **Part I: the plan outline**, which endorses the long-term priorities and the objectives of the Organization;
- (b) **Part II: the programme plan for programmes and subprogrammes and programme performance information**;
- (c) **Part III: the post and non-post resource requirements for the programmes and subprogrammes**”;

Para. 11. “Also decides that **parts I and II shall be submitted through the Committee for Programme and Coordination** and **part III through the Advisory Committee** for the consideration of the General Assembly”.

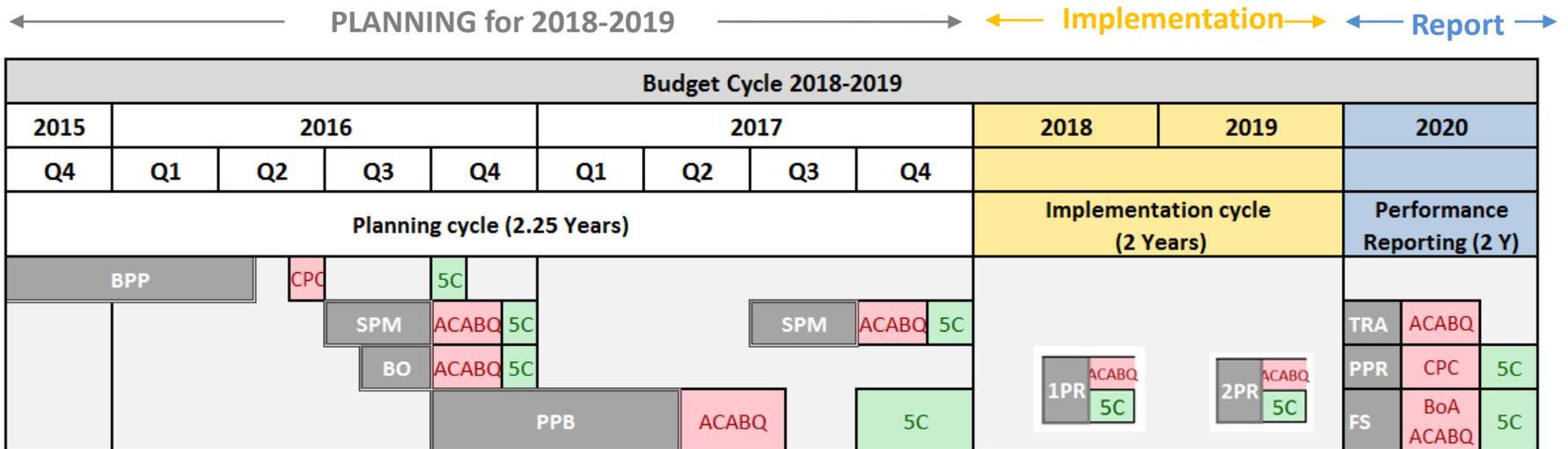


The Budgetary Cycle





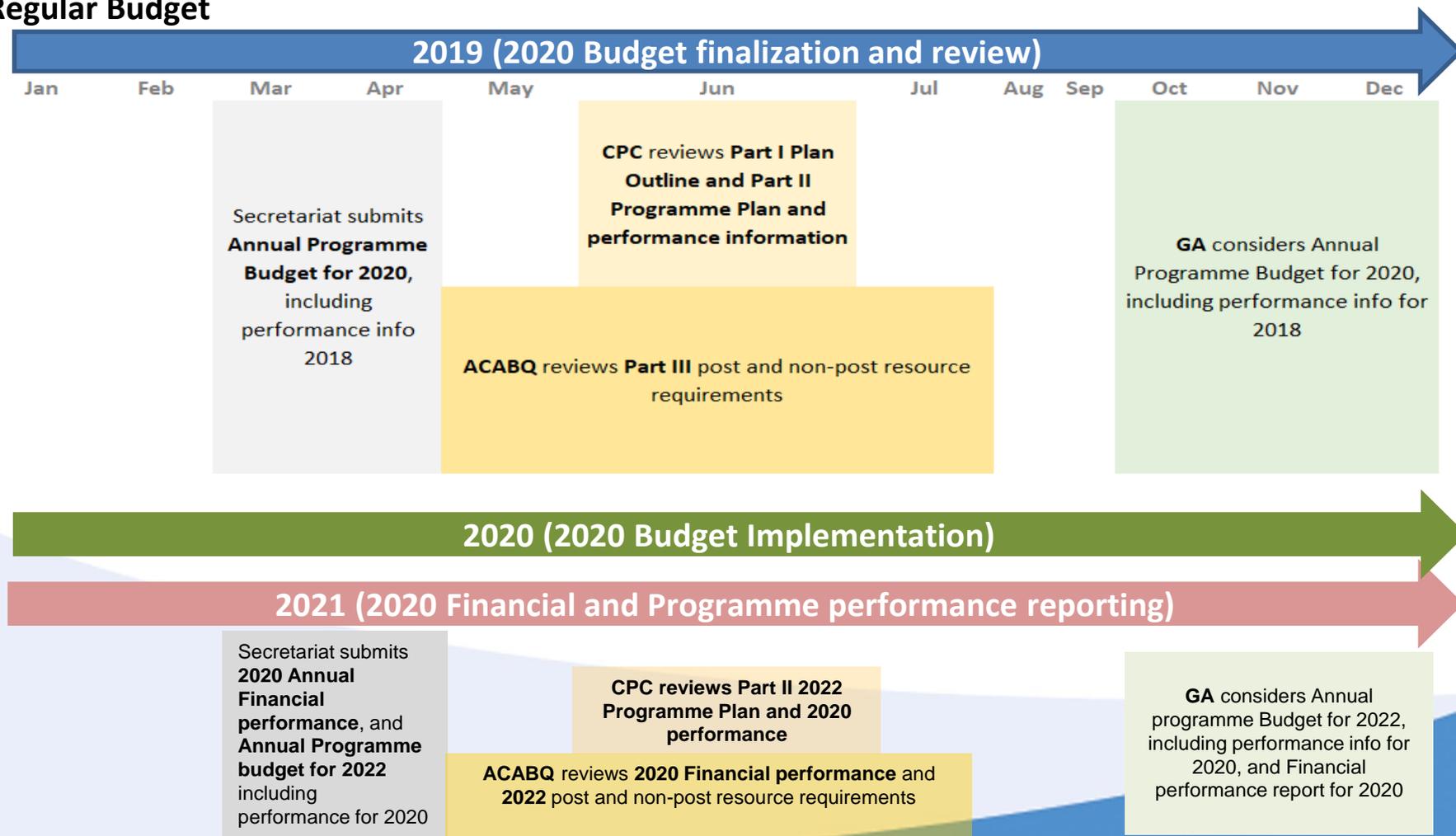
Regular budget cycle: five years (current)





Timeline for 2020 Regular Budget proposal

Regular Budget





Planning (2020)

Areas to improve existing result frameworks

1. CPC reviews mandates and results

Outputs not included in the programme plan

2. SDG alignment cannot be pinpointed easily

References to SDGs are inconsistently reflected

3. Changes to outputs over time not transparent

Reports only contain outputs for single budget period

4. Output performance only in aggregate

One overall implementation rate for different outputs

5. Framework with one-dimensional results

Indicators only quantitative without link to our work

6. Planned & reported results influenced by form

Proposed plans mirror last biennium's plans

Proposed solutions in programme budget reform

CPC reviews mandates, outputs and results

Plans include how mandates translate into outputs

Alignment with SDGs prominent and accessible

Each objective consistently aligned with relevant SDGs

Outputs can be monitored across years

Budget includes evolution of outputs over three periods

Detailed output performance per subprogramme

Enhanced accountability for each category of outputs

Richer means of demonstrating results

Qualitative aspects, visuals and link to outputs added

Planned & reported results more substance driven

Plans build on past evaluations and lessons learned



Sources of funding

-----Assessed-----

Regular Budget

- Biennial: Jan 18 to Dec 19
- \$5.4b
(A/RES/72/273A)
- Annual on trial basis (2020-2022)
(A/RES/72/266 A)

Peacekeeping Budget

- Annual
- July-June
- \$6.7 b
(see A/C.5/72/25)

Tribunals

- Biennial: Jan 18 to Dec 19
- \$196 m
(A/RES/72/258 B)
- Annual on trial basis (2020-2022)
(A/RES/72/258 B)

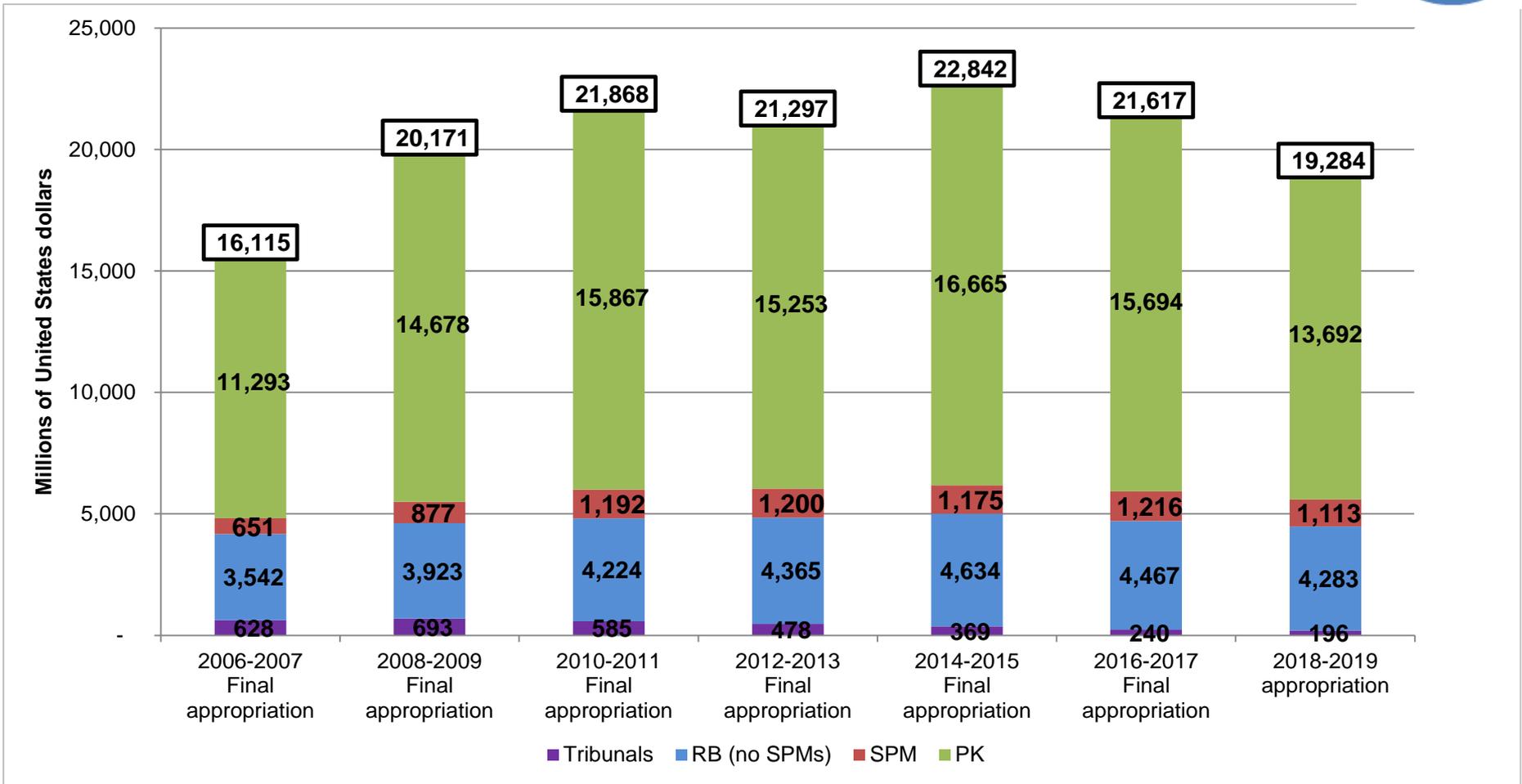
--Extrabudgetary--

Voluntary Contributions

\$21.3 b Includes \$19.1 b for UNRWA, UNHCR, UNEP, UN-Habitat, UNODC and UN-Women



Trend: Overall Assessed Budget Level

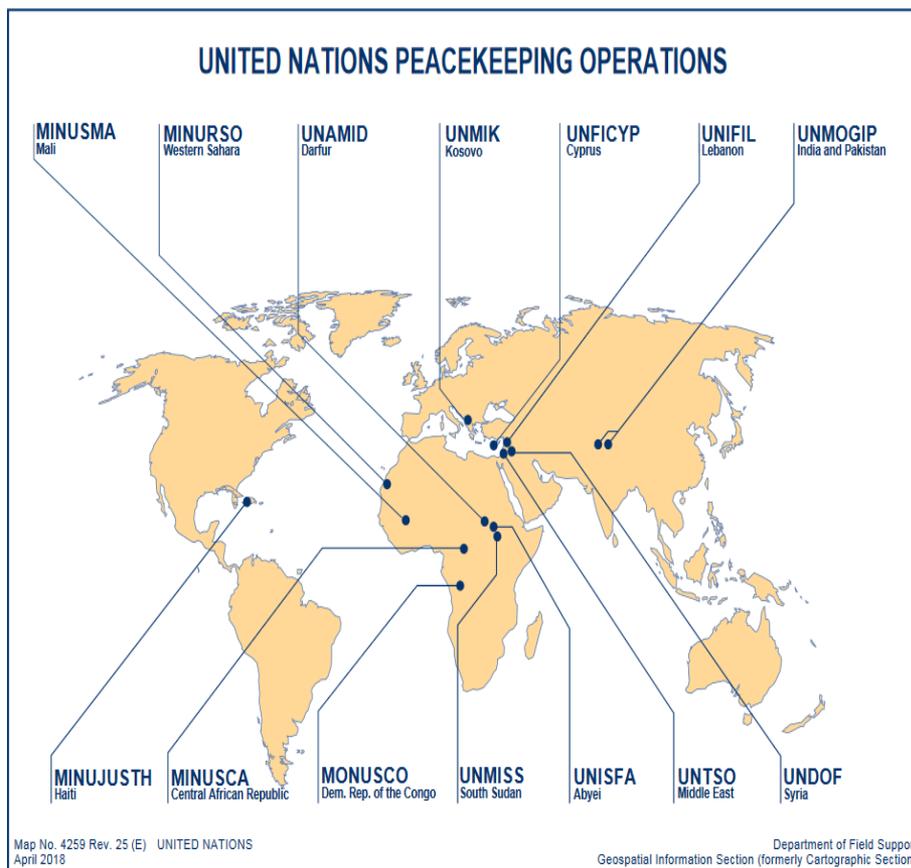




Peacekeeping Financing At a Glance

Budget

Approved budget 2018/19	
Millions of United States dollars	
Mission	Amount
MONUSCO	1 114.6
UNMISS	1 125.0
MINUSMA	1 074.7
MINUSCA	930.2
UNSOS	558.1
UNIFIL	474.4
UNAMID ^a	385.7
Support Account	324.7
UNISFA	263.9
MINUJUSTH	121.5
UNLB	82.4
UNDOF	60.3
UNFICYP	52.9
MINURSO	52.4
UNMIK	37.2
RSCE ^b	31.4
Total	6 689.4



Troop and Police Contributing Countries during 2017/18 period	
Country	Monthly Average Troops
Ethiopia	8 051
Bangladesh	7 167
India	6 998
Rwanda	6 397
Pakistan	6 316
Nepal	5 079
Egypt	2 945
Senegal	2 899
Indonesia	2 589
China	2 527
Ghana	2 462
Republic of Tanzania	2 461
Burkina Faso	2 057
Morocco	1 586
Chad	1 397
Togo	1 338
South Africa	1 219
Republic of Italy	1 136
Cameroon	1 033
Mauritania	1 025
Others	20 329
Total	87 009

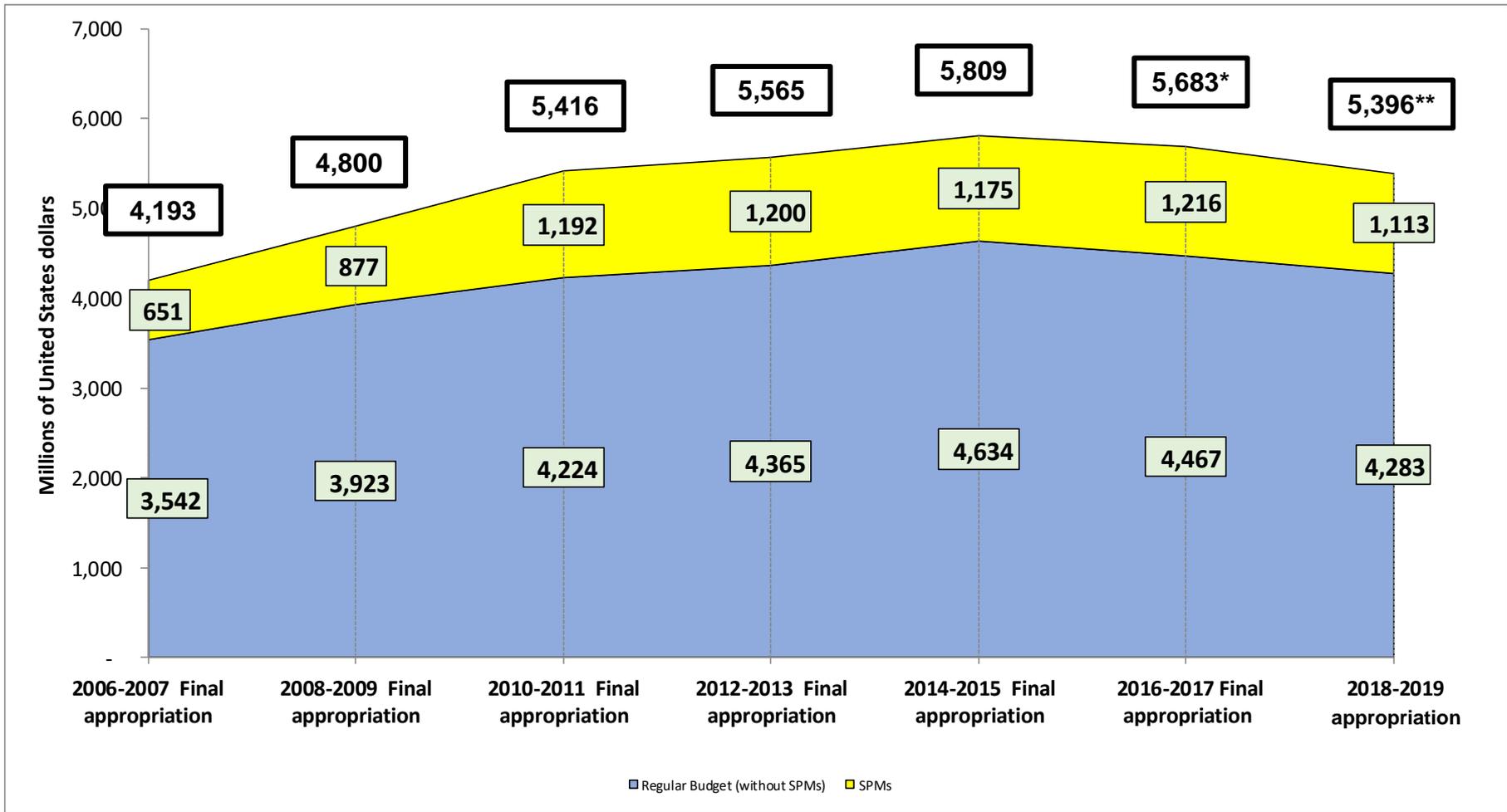
a) Includes UNAMID commitment authority for 6 months.

b) Inclusive of \$686,900 charged against the provision for special political missions under section 3, Political affairs, under programme budget for the biennium 2018-2019

Support account \$ 324.7M								
DPKO	DFS	DM	DMSPC	DOS	OIOS	ASHI/Rent	Umoja	others
26%	11%	8%	5%	11%	9%	9%	9%	12%



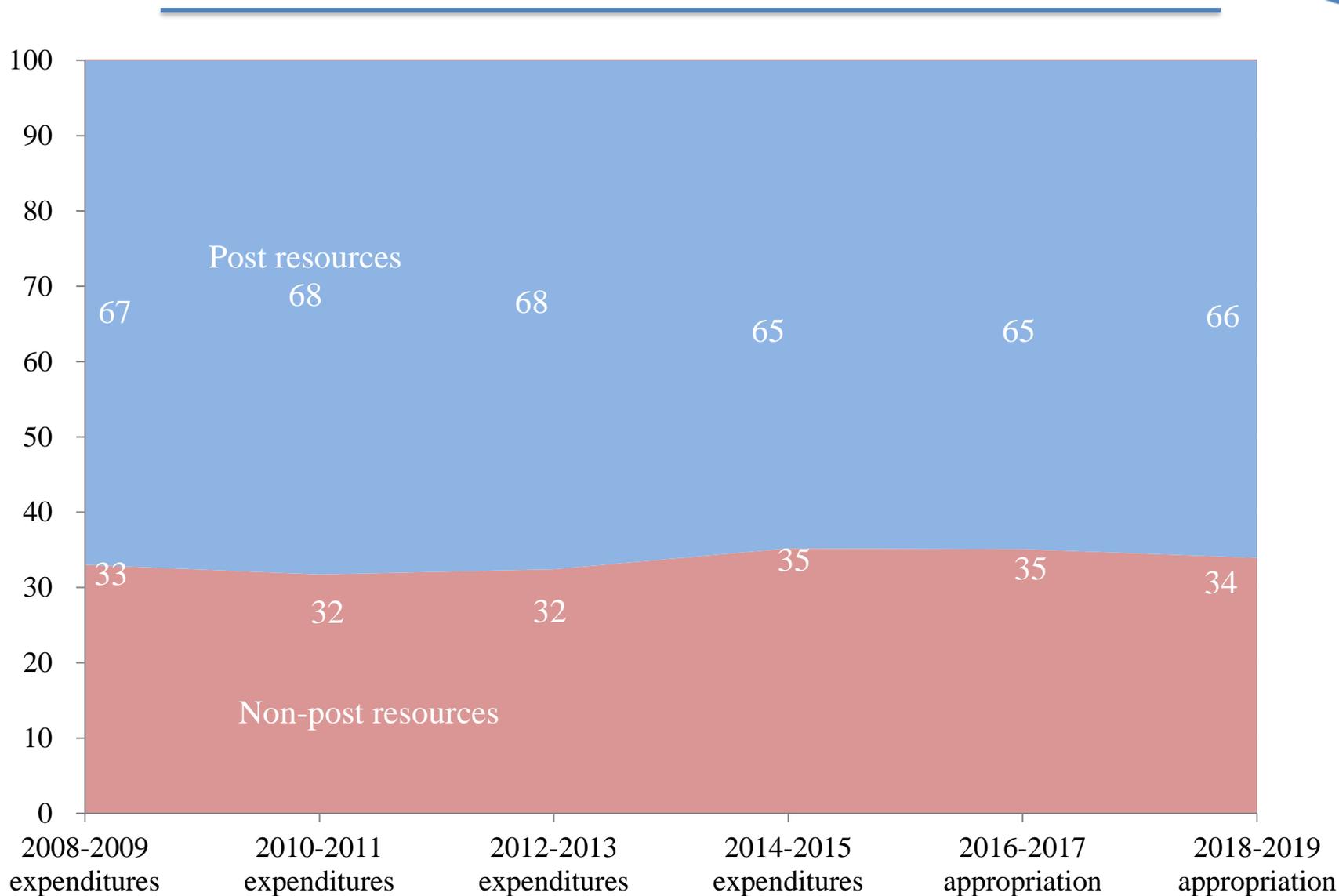
Evolution of Regular Budget Resources (2006-2007 to 2018-2019)



*See A/RES/72/253
**See A/RES/72/263A

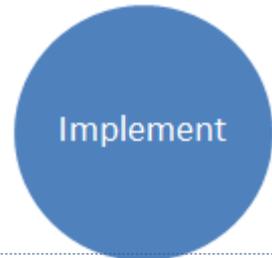


Percentage distribution of post and non-post resources over a 12 year period (2008-2019, excluding special political missions)

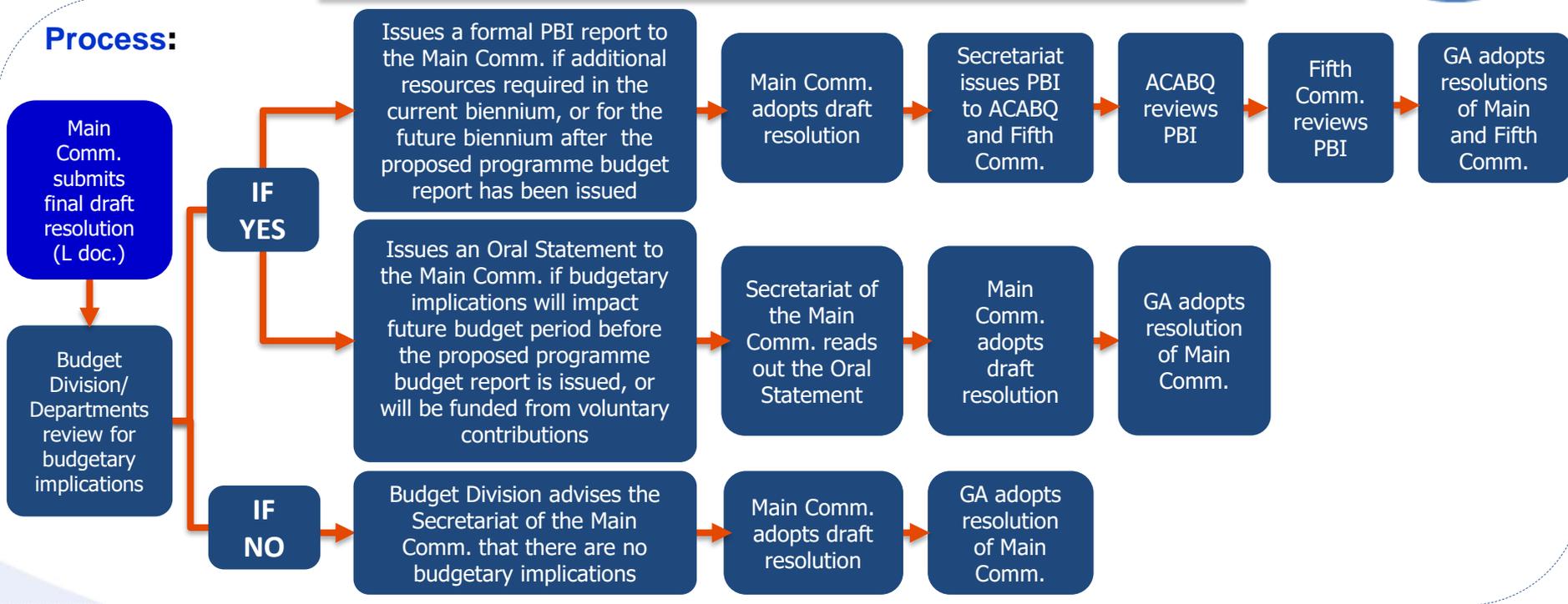




Programme Budget Implications: Regulatory framework, process and practice for the Main Committees



Process:



Regulatory framework:

- GA Rules of procedure, rules 153 and 154
- GA resolutions 45/248 B section VI, 69/321 (para.25), 69/262 (Sect. XII, para.5) and 70/247(paras. 1-5)
- GA decision 34/401 (paras. 12 and13)
- Financial Regulations and Rules (ST/SGB/2013/4), regulations 2.10 and 2.11, and rule 102.6
- Programme planning Regulations and Rules (ST/SGB/2016/6), regulation 5.9 and rule 105.8

Process: Timing for issuance of an Oral Statement or PBI

- Before a final draft resolution/decision (L doc.) is submitted, the Budget Division (PPBD), if approached by the Secretariat of a Main Comm. and after preliminary consultation with relevant Departments, can indicate: whether budgetary implications are expected based on the latest draft; possibly a sense of magnitude of such implications; but no detailed cost estimates (contact: Director, PPBD).
- Once a final draft resolution/decision is submitted, the Secretariat of the Main Comm. submits it to PPBD, which has **a minimum of 48 hours** for consultation with relevant Departments, review and issuance of a formal PBI report or Oral Statement as necessary (GA decision 34/401).

Practice: Examples of language that may trigger budgetary implications

- Requests the SG to submit a (new) report...
- Requests the SG to establish a dedicated (new) capacity to support...
- Requests the SG to carry out xxx (new) activity...
- Requests the SG to expand/increase the scope/scale of an activity or strengthen the Secretariat's role...
- Decides to convene an open-ended working group/conference/meeting...
- Decides to increase membership of/establish the Committee...
- "Within existing resources" does not preclude budgetary implications.